Software Engineering Lecture 1

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Sites: http://sites.google.com/site/ingswcm As a *student* with no other experience than having done *some programming*, it is quite *difficult to understand what more is involved in software engineering*.

Typically, when creating a program in a *course setting*, the *exercise* starts from an *idea* that may have been *explained in a few words*: say, less than one hundred words.

Based on the idea, the student and his classmates *developed a piece of software*, meaning they *wrote code and made sure that it worked*. After the assignment they *didn't need to take care of it*. These assignments were *small* and to perform them they really *did not need much engineering discipline*.

This situation is quite unlike what you have to do in the *industry*, where *code written will stay around for years*, passing through *many hands to improve it*. Here a *sound approach to software engineering* is a must. Otherwise, it would be impossible to *collaborate* and *update* the software with *new features* and *bug fixes*.

Nevertheless, the experience in school is an important and essential beginning.

Issues in *developing* and *supporting* software products

A simple program

Size and complexity of a system

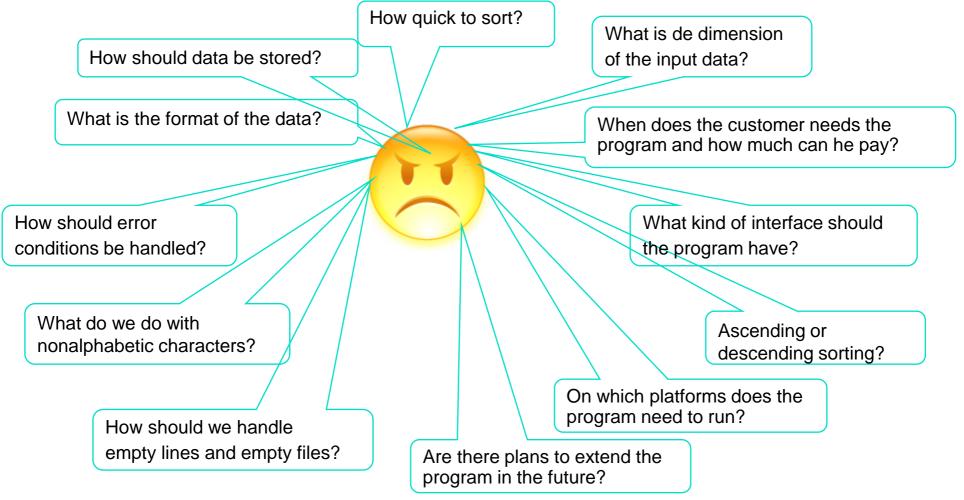
Technical and non-technical issues

Concerns in case of a large application

Coordination efforts for process, product and people

A simple program

"Given a collection of lines of text (strings) stored in a file, sort them in alphabetical order, and write them to another file".



Clarify the REQUIREMENTS.

Program requirements : statements that *define* and *qualify* what the program needs to do.

Functional requirements : What a program needs to do.

Quality attributes (extra-functional requirements) : The manner in which the functional requirements need to be achieved.

Design constraints : Statements that constrain the ways in which the software can be designed and implemented.

What kind of interface should the program have? What is the dimension of the input data? On which platforms does the program need to run?

How quick to sort? Are there plans to extend the program in the future?

> Sorting. Ascending or descending sorting? What do we do with nonalphabetic characters? How should we handle empty lines and empty files?

Functional requirements : What a program needs to do.

Define program functionality.

Quality attributes (extra-functional requirements) : The manner in which the functional requirements need to be achieved.

Typical examples: performance, modifiability, usability, configurability, reliability, availability, security, scalability.

Design decisions and constraints : Statements that constrain the ways in which the software can be designed and implemented.

Choice of:

Programming language

Platforms the system runs on

Interfaces to user and to external systems

Tools to be used

etc.

Functional requirements : What a program needs to do. Define program functionality.

Input formats:

What is the format of the data? ASCII, UNICODE, hybrid? How should data be stored: what separates the lines of a file? *Decision: ASCII; CR+LF*

Sorting:

Ascending or descending sorting?

What do we do with nonalphabetic characters? Numbers before or after letters? Lowercase vs. uppercase.

Decision: sorting characters in numerical order, sorting file in ascending order.

Special cases, boundaries, error conditions:

How should we handle empty lines and empty files?

How should error conditions be handled?

Decision: no special treatment for empty line; empty output file created for empty input file; all errors reported to the user; presumption: input file is not corrupted. **Quality attributes (extra-functional requirements)** : The manner in which the functional requirements need to be achieved.

Typical examples: performance, modifiability, usability, configurability, reliability, availability, security, scalability.

Performance:

Q: How quick to sort?

A: Less than 1 min. to sort a file of 100 lines of 100 characters each.

Decision: an algorithm with a proper response time, selected based on the analysis of existing sorting algorithms.

Modifiability :

- Q: Are there plans to extend the program in the future?
- A: Changes in sorting order may be requested in the future.

Decision: Prepare the program for future changes in sorting order.

Design decisions and constraints : Statements that constrain the ways in which the software can be designed and implemented.

Platforms:

DECISSION on architecture, OS, available libraries.

Portability is more or less limited \Rightarrow extra cost implied by supporting a new platform \Rightarrow TRADEOFF between developing for portability and the predicted need for future portability.

Schedule requirements:

Technical people inform about feasibility and cost for different deadlines.

The client establishes the final deadline.

User interface: CLI, GUI, Web-based ?

Decision:

Avoid upload and download \Rightarrow not Web-based

Allow for automation and REUSE program as a module in future applications

```
\Rightarrow invokable inside a script
```

 \Rightarrow CLI

Design decisions and constraints : Statements that constrain the ways in which the software can be designed and implemented.

Typical and maximum input sizes:

Small \Rightarrow any sorting algorithm \Rightarrow the simplest to implement

Large, fit in RAM \Rightarrow an efficient algorithm

Large, not fit in RAM \Rightarrow specialized algorithm for on-disk sorting

Programming language:

May be a design constraint.

May be a design decision based on type of programming needed, the performance and portability requirements, the technical expertise of the developers.

Algorithms:

May be given as design constraint or as functional requirements.

May be design decisions influenced by:

the language used and the library available – we may use a standard facility offered by the programming language

required performance – trade-off with effort required to implement and the expertise of the developers

A simple program – Testing

Testing – dynamic verification of the written code.

Levels of testing Unit testing Integration testing Acceptance testing

Unit testing – process followed by a programmer to test each piece or unit of software.

Programmer:

Writes code

Writes tests to check each module, function or method.

XP – programmers write tests before writing the code.

Estimating effort - important aspect of a software project.

Effort estimation \Rightarrow *cost* estimation, *schedule* estimation.

Test – 1 minute:

Estimate how much time do you need to write a program that read lines from one file and write the sorted lines to another file, using your favorite language. You must implement the sort algorithm and to offer a GUI with two text boxes and two buttons in which the user can select the input file and the output file using the File Open dialog. Assume you can work only on this one task, with no interruptions.

Is realistic the assumption to work with no interruptions and only to this task?

Divide the task into subtasks:

Create class StringSorter with three public methods: Read, Write, Sort

Implement sorting routine using an algorithm that involves finding the largest element, putting it at the end of array, and then sorting the rest of the array using the same mechanism

 \Rightarrow create a method <code>IndexOfBiggest</code> that returns the index of the biggest element in an array.

Homework :

Estimate an *ideal time* (asap) and a *calendar time* for each task in the following list:

IndexOfBiggest Sort

Read

Write

GUI

Testing

Implement the solution and compare estimated with actual time.

Conclusion:

Estimation is more accurate after dividing the work into tasks Estimation will differ more or less from the actual effort Estimation benefits from previous experience Estimation is less accurate at the beginning of the project.

Estimation – important issue in software project management.

Language-independent GUIDING RULES:

Be consistent in names, capitalization, programming conventions

Try to follow the established *conventions of the programming language*

(ex. Java: class name-uppercase, variable name-lowercase, separate words with uppercase; C:use lowercase and separate words with underscore)

Chose *descriptive* names; long for elements that have a global scope (ex. classes, public methods), short for local references (ex. local variables, private names).

The *methods/procedures/functions used* in the module you develop must be previously proved to *work* \Rightarrow a possible problem is in your module.

Know and use as much as possible the standard library provided by the language \Rightarrow improve development time, (re)use of debugged and optimized code.

REVIEW the code, if possible with other people.

Basic design of the example application to be implemented:

Decisions:

1. Good practice : separate the sorting functionality from the user interface \Rightarrow ? possibility to independently change either UI or sorting functionality.

2. Class: StringSorter

Methods:

Read strings from a file

Sort the collection of strings

 $\texttt{Write} \ \text{strings to a file}$

Take input and output file names and combine the previous methods

Exceptions: passed to the UI classes.

3. More UI classes, one for each UI type.

```
import java.io.*;
import java.util.*;
public class StringSorter {
   ArrayList lines;
   ...
}
```

Unit testing of the example application:

Decission: Use of JUnit Write a class containing all unit tests (inherits from junit.framework.TestCase, contains testXXX() methods, uses assertEquals() to compare expected with actual values).

A simple program – Implementations

```
import java.io.*;
                                                                                one
  import java.util.*;
                                                                                two
  public class StringSorter {
                                                                                three
    ArrayList lines;
    public void readFromStream(Reader r) throws IOException{
      BufferedReader br=new BufferedReader(r);
      lines=new ArrayList();
                                     public class TestStringSorter extends TestCase {
      while(true) {
                                        private ArrayList make123() {
        String input=br.readLine();
                                          ArrayList lst = new ArrayList();
        if (input==null)
                                          lst.add("one"); lst.add("two"); lst.add("three");
          break:
                                          return lst;
        lines.add(input);
                                         public void testReadFromStream() throws IOException{
                                            Reader in=new FileReader("in.txt");
                                            StringSorter ss=new StringSorter();
                                            ArrayList lst= make123();
Apply the test using a Test Runner to verify
                                            ss.readFromStream(in);
the implementation of the method.
                                            assertEquals(lst,ss.lines);
```

The chosen algorithm :

Find the largest element in the array Swap it with the last element Repeat with the rest of the array.

Supporting methods:

Swapping two elements of the array

Finding the index of the largest element in a given subarray

```
static void swap(List lst, int i1, int i2) {
   Object tmp=lst.get(i1);
   lst.set(i1, lst.get(i2));
   lst.set(i2, tmp);
}
```

```
public class TestStringSorter extends TestCase {
    private ArrayList make123() {...}
    public void testReadFromStream() throws IOException{...}
    public void testSwap() {
        ArrayList lst1=make123();
        ArrayList lst2=new ArrayList();
        lst2.add("one"); lst2.add("three"); lst2.add("two");
        StringSorter.swap(lst1,1,2);
        assertEquals(lst1,lst2);
    }
}
```

Apply the test using a *Test Runner* to verify the implementation of the method.

```
static void findIdxBiggest(List lst, int from, int to) {
  String biggest=(String)lst.get(from);
  int idxBiggest=from;
  for(int i=from+1; i<=to; ++i) {</pre>
    if(biggest.compareTo((String)lst.get(i)<0) {</pre>
      biggest=(String)lst.get(i);
      idxBiqqest=i;
                           public class TestStringSorter extends TestCase {
  return idxBiggest;
                             private ArrayList make123() {...}
                             public void testReadFromStream() throws IOException{...}
                             public void testSwap() {...}
                             public void testFindIdxBiggest() {
                               ArrayList lst = make123();
                               int i = StringSorter.findIdxBiggest(lst,0,l.size()-1);
                               assertEquals(i,1);
```

```
import java.io.*;
  import java.util.*;
  public class StringSorter {
    ArrayList lines;
    public void readFromStream(Reader r) throws IOException{...}
    public void sort() {
      for(int i=lines.size()-1; i>0; --i) {
        int big=findIdxBiggest(lines,0,i);
        swap(lines,i,big);
                                 public class TestStringSorter extends TestCase {
                                   private ArrayList make123() {...}
                                   public void testReadFromStream() throws IOException{...}
                                   public void testSwap() {...}
                                   public void testFindIdxBiggest() {...}
                                   public void testSort1() {
                                     StringSorter ss = new StringSorter();
                                     ss.lines=make123();
                                     ArrayList lst2=new ArrayList();
                                     lst2.add("one"); lst2.add("three"); lst2.add("two");
                                     ss.sort();
Apply the test using a Test Runner to
                                     assertEquals(lst2,ss.lines);
verify the implementation of the method.
```

More efficiency if we know the standard library of the language: we do not need the static classes swap and findIdxBig the code in class StringSorter is more simple.

```
import java.io.*;
import java.util.*;
public class StringSorter {
   ArrayList lines;
   public void readFromStream(Reader r) throws IOException{...}
   void sort() {
     java.util.Collections.sort(lines);
   }
}
```

```
import java.io.*;
import java.util.*;
public class StringSorter {
   ArrayList lines;
```

A simple program – Implementations

```
public void readFromStream(Reader r) throws IOException{...}
public void sort() {...}
public void writeToStream(Writer w) throws IOException {
    PrintWriter pw=new PrintWriter(w);
    Iterator i=lines.iterator(i);
    while(i.hasNext()) {
        pw.println((String)(i.next()));
    }
}
```

```
Apply the test using a Test Runner to verify the implementation of the method.
```

```
public class TestStringSorter extends TestCase {
    private ArrayList make123() {...}
    public void testReadFromStream() throws IOException{...}
    public void testSort1() {...}
    public void testWriteToStream() throws IOException {
        StringSorter ss1 = new StringSorter();
        ss1.lines=make123();
        Writer out=new FileWriter("test.out");
        ss1.WriteToStream(out);
        out.close();
        Reader in=new FileReader("test.out");
        StringSorter ss2=new StringSorter();
        ss2.readFromStream(in);
        assertEquals(ss1.lines,ss2.lines);
    }
}
```

```
import java.io.*;
import java.util.*;
public class StringSorter {
   ArrayList lines;
```

A simple program – Implementations

```
public void readFromStream(Reader r) throws IOException{...}
  public void sort() {...}
  public void writeToStream(Writer w) throws IOException {...}
  public void sort(String inputFileName, String outputFileName) throws IOException{
    Reader in=new FileReader(inputFileName);
    Writer out=new FileWriter(outputFileName);
    readFromStream(in);
                                public class TestStringSorter extends TestCase {
    sort();
    writeToStream(out);
                                  private ArrayList make123() {...}
                                   public void testReadFromStream() throws IOException{...}
    in.close();
                                public void testSort1() {...}
    out.close();
                                   public void testWriteToStream() throws IOException {...}
                                   public void testSort2() throws IOException {
                                    StringSorter ss1=new StringSorter();
                                    ss1.sort("in.txt","test2.out");
                                    ArrayList lst= new ArrayList();
                                    lst.add("one"); lst.add("three"); lst.add("two");
                                    Reader in=new FileReader("test2.out");
                                    StringSorter s2=new StringSorter();
                                    ss2.readFromStream(in);
                                    assertEquals(lst,ss2.lines);
Apply the test using a Test Runner to
```

verify the implementation of the method.

Until now : unit-tested (not extensive) implementation of StringSorter.

User Interface – a program that will alow to acces the functionality of StringSorter.

Variants :

CLI (command line interface) GUI (graphic user interface)

```
import java.io.IOException;
public class StringSorterCommandLine {
    public static void main(String args[]) throws IOException {
        if(args.length!=2) {
            System.out.println("Use: cmd inputfile outputfile");
        }else{
            StringSorter ss=new StringSorter();
            ss.sort(args[0],args[1]);
        }
    }
}
```

The command line to use the StringSorter looks like:

```
java StringSorterCommandLine a.txt a_sorted.txt
```

When is useful such an interface?

What are the advantges of writing such an interface ?

A simple program – User Interfaces

```
import java.io.IOException;
public class StringSorterGUI1 {
  public static void main(String args[]) throws IOException {
    try{
      StringSorter ss=new StringSorter();
      String inputFileName=JOptionPane.showInputDialog("Please enter input file name");
      String outputFileName=JOptionPane.showInputDialog("Please enter output file name");
      ss.sort(inputFileName,outputFileName);
    }finally{
      System.exit(1);
                                                                         X
                                            Input
                                                  Please enter input file name
                                              ?
                                                       OK
                                                             Cancel
```

Do you like this GUI ?

Propose a more friendly one !



Summary; conclusions

Single program Developed by a one person Few users

What if ? Complex system with multiple components.

Issues:

Functional requirements and quality attributes Design constraints and decissions Testing Effort estimation Implementation details

Activities:

Requirements understanding Estimate effort and possibly plan the development Design the solution Implement the solution Test for correctness and with the user

A (simple) **PROCESS**.

Minimal **DOCUMENTATION**.

Problem - to be solved by a software system.

Problem space – the business domain where the problem is defined.

Specified by user requirements.

Domain model – entities and relationships in the business domain that define the problem to be solved.

Result of the requirements analysis.

Solution space – the software domain in which the solution will be implemented.

Result of design decisions and constraints.

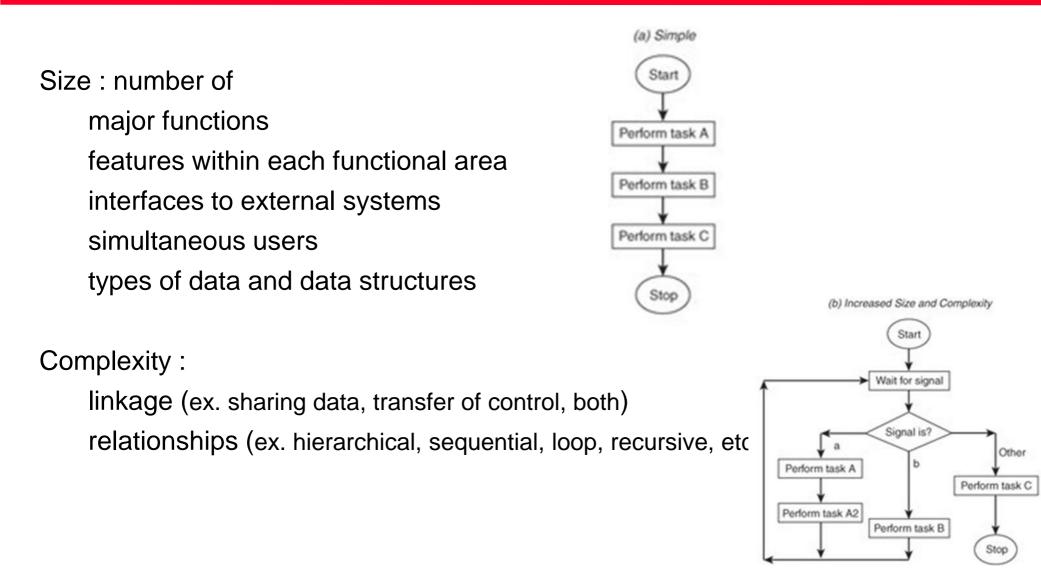
- **Design** representation of the entities and relationships in the solution space that define the solution software system before it is coded (implemented). Result of the design process.
- **Solution** a software system that solves the problem.

Result of the software development process.

Issues in *development* and *suport* software products

A simple program Size and complexity of a system Technical and non-technical issues Concerns in case of a large application Coordination efforts for process, product and people

Size and complexity



Issues in *development* and *suport* software products

A simple program Size and complexity of a system Technical and non-technical issues Concerns in case of a large application Coordination efforts for process, product and people **Technical issues**

Handling the SIZE and COMPLEXITY:

Problem decomposition

Solution modularization

Separation of concerns

Incremental iterations

Technology and tools

Technical choices:

Programming language:...

Development tools : IDEs, ...

Infrastructure : DBMS, network, middleware,...

Management tools : code version control, ...

Diversity in background and experience of the team members \Rightarrow agreement for the choices, training plans, etc.

Process and methodology

Software development process (software development lifecycle – SDLC) = The set of *activities*, the *sequence* and *flow* of these activities, the *inputs* to and the *outputs* from the activities, and the *preconditions* and *postconditions* for each of the activities involved in the production of software.

Contains the activities required for developing and maintaining software.

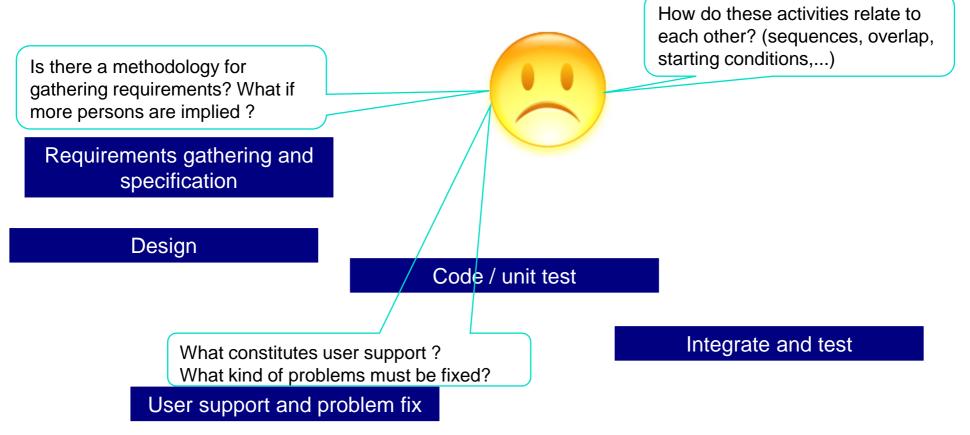
Used in guiding and in coordinating and managing complex projects involving many people.

Methodology – a particular procedure or a set of procedures.

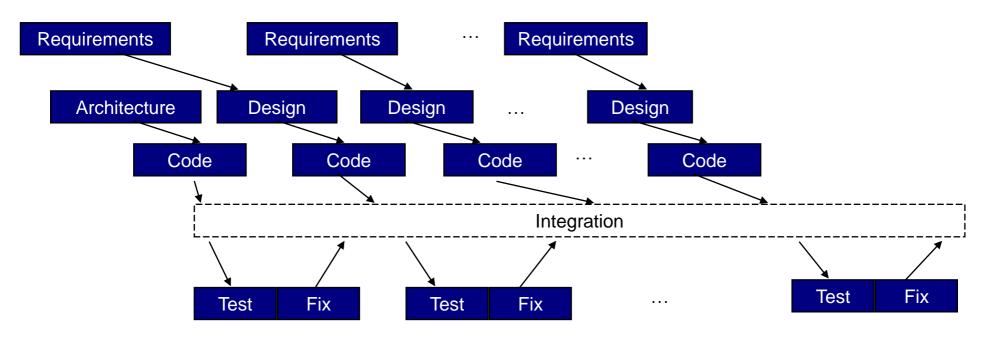
Technical issues

Process and methodology

Comon activities in software development process.



Process and methodology – a possible process approach:



Incremental development (problem and solution divided into increments) and continuous integration.

Effort estimation and schedule

Issues:

Difficult before understanding the requirements.

Needs knowledge about individual productivities of the team members.

Difficulty in direct relation with the size and complexity of the problem.

More accurate as the project goes on.

More accurate if experience with similar projects exist.

Assignments and communications

Assignment of people to tasks based on skills and availability

Objective : assign the most effective and properly skilled people to the right tasks.

Communications

The number of direct communication paths grows as C_n^2 with the number of people involved in software development.

Probability of error in communication grows with the number of people implied

⇒ need for communication *structures* and *protocols* and for *standard meaning* of messages.

Issues in *development* and *suport* software products

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A more complex problem: Hypothetical Payroll System

Payroll : (1) the sum of all financial records of salaries for an employee, wages, bonuses and deductions. (2) amount payed to employees for services they provided during a period of time.

Functional requirements: (examples)

What the "associated information" is? Whom shall I ask? User, client, project manager? Should I document the answers?

- Add, modify, delete names and associated personal information of all employees
- Add, modify, delete all the benefits associated with all employees

What are "all benefits"?

What is the implication of having a benefit on an employee's payrol? Is there a list of all possible benefits? Will it be modified in the future?

Functional requirements: (examples)

- Add, modify, delete all the tax and other deductions associated with all employees
- Add, modify, delete all the gross income associated with all employees
- Add, modify, delete all the algorithms related to computing the net pay for each employee

Functional requirements: (examples)

Generate a paper check or an electronic direct bank deposit for each
 employee

Which is the payroll cycle? Which is the deadline for inputs to the cycle (ex. salary increase)

In order to properly handle the functional requirements you need to understand the *application domain-specific knowledge* related to the problem.

Quality attributes (nonFunctional requirements): (examples)

Performance

Which is the volume of payroll transactions? Which is the speed of processing each payroll transaction?

In order to properly handle some quality attributes you need to have *knowledge of technical system*.

Quality attributes (nonFunctional requirements): (examples)

Usability

What is the experience of the user with GUI? What are the user profiles? How to undo and reprocess a paycheck based on a bad record?

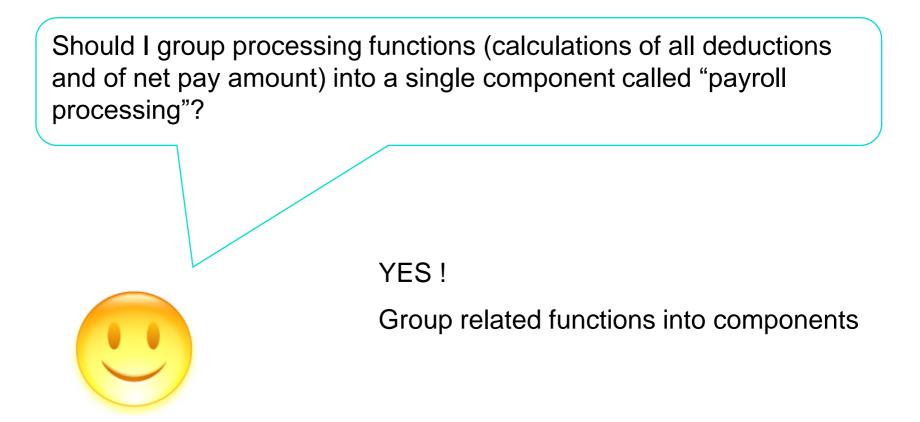
In order to properly handle other quality attributes you need to have *interface information*.

Should "add, update, delete" functional requirements be grouped into a single component called "payroll administrative functions"?

YES !

Group related functions into components





Shall I place all interface functions with external systems into a component called "payroll interfaces".



YES!

Group related functions into components

I must be prepared to handle errors and exceptions. Shall I agreggate handlers into an exception-processing component?

YES !

Group related functions into components



Advantages of grouping related functions into components:

- Provides some design *cohesiveness* within the component
- Matches the business flow and the payroll processing environment
- Provides a potential assignment of work by components
- Allows easier packaging of software by components.

Designers must deal with both *cohesion* and *coupling* characteristics of a software design

looking for

high cohesion of each component

and

low coupling between components.

User interface prototype :

- layout and style
- content
- navigation relationships

Obs. In this example is not a prime design concern because the application is heavily batch-oriented and less interactive.

Database design :

- tables
- keys

Obs. In this example is an important design concern because the application is data intensive.

Applied techniques:

- Functional decomposition
- Synthesis
- Defining *inter*component interactions
- Defining *intra*component interactions

Difference from designing a single programing module:

- Greater discipline
- Aditional guiding principles
- More team members

Hypothetical Payroll System Code and Unit Testing

For each functional unit in a given component *build* one or more *programming units* (modules)

In case of more programming units establish standards for:

- naming conventions for unique identification of the module
- comments
- error messages

At module level:

Test each programming unit (module) :

- Set the conditions of the module
- Choose the appropriate input data
- Run the module and observe its behaviour through checking the output

Fix the discovered error

Re-test the module

Hypothetical Payroll System Integration and functionality testing

Functional unit level:

Integrate (compile and link together) all *tested modules* into the corresponding *functional unit*.

Apply functional test cases¹ on the functional unit.

Fix errors in the specific modules.

Re-test the functional unit.

After functional testing have been passed, *lock* the modules in the functional unit for further changes.

Use a *configuration management mechanism*. It may be automated using configuration management tools (ex. CVS, Subversion,...)

¹*Test cases* (scenarios) are designed based on the *use cases* (scenarios) which are derived from the *functional requirements* of the system.

Hypothetical Payroll System Release

Integrate all the *components* and *test* to assure that the *complete system* works as a whole and in the user business environment.

Detect system interface problems.

Detect component interaction problems.

Fix discovered problems.

Re-test each *modified module*, *related functional unit* and the *system* as a whole

When no more problem is found *protect* this *version of the system* (release) from further changes.

Hypothetical Payroll System Release

Educate the users in the usage of the system:

- Prepare the training material
- Train the users

Prepare user support personnel – trained in:

- Payroll system
- User environments
- Tools needed to support customers

RELEASE the payroll system.

Hypothetical Payroll System Support and Maintenance

Simple application : is not a major concern.

Complex application :

may be a very complex set of tasks.

Consider at least two sets of support personnel:

- A group to answer and handle system usage and simple problem work-arounds Skills: communication, payroll system usage knowledge
- A group to fix difficult problems and implement future enhances Skills: design, coding

Obs. Support organization is comparable in size and complexity to the original development team.

IJ





Issues in *development* and *suport* software products

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Process:

1990s - Improved (extended, complicated) by:

- More reviews, inspections, testing, meetings
- Expensive quality assurance and measurement efforts
- to prevent, detect and correct problems, improve the quality of software and increase the productivity of software developers.
- 2000s simplified to face new market challenges of speed and cost.

No single process fits all cases!!!

Product:

Software product *content* coordination:

- Executable code
- Documentation: requirements specification, design, functional test scenarios, user manual
- User education (optional)
- Product support (optional)

Coordination of *changes* because:

- The initial high functional cohesiveness and low coupling errodate after changes are applied
- Complexity increases \Rightarrow testing effort increases

How to design for changes ?

How to coordinate changes ?

People:

Human resource is crucial in the development and support of software!!!

Software industry is still "labor intensive" (! High qualified intelectual labor !)

How to coordinate people's activities? How to manage people ? Q&A

What ...? Why...? How...? Who...? When...? SOFTWARE ENGINEERING

"A typical software development endeavor involves more than one person working on a complex problem over a period of time to meet some objectives.

As a new student, understanding what software engineering is about is not easy, because there is no way we can bring its realities and complexities into the student's world.

Nevertheless, it is a student's responsibility to embark on this journey of learning and discovery into the world of software engineering."

> I. Jacobson, H. Lawson, Pan-Wei Ng, P.E. McMahon, M. Goedicke The Essentials of Modern Software Engineering, 2019, ACM Books

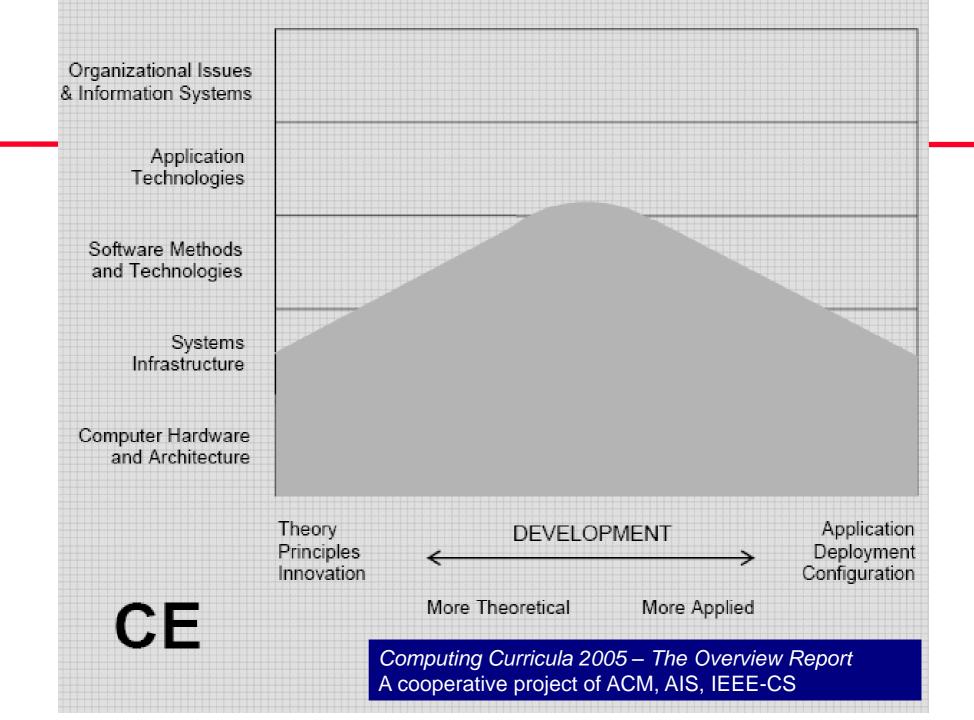
Computing

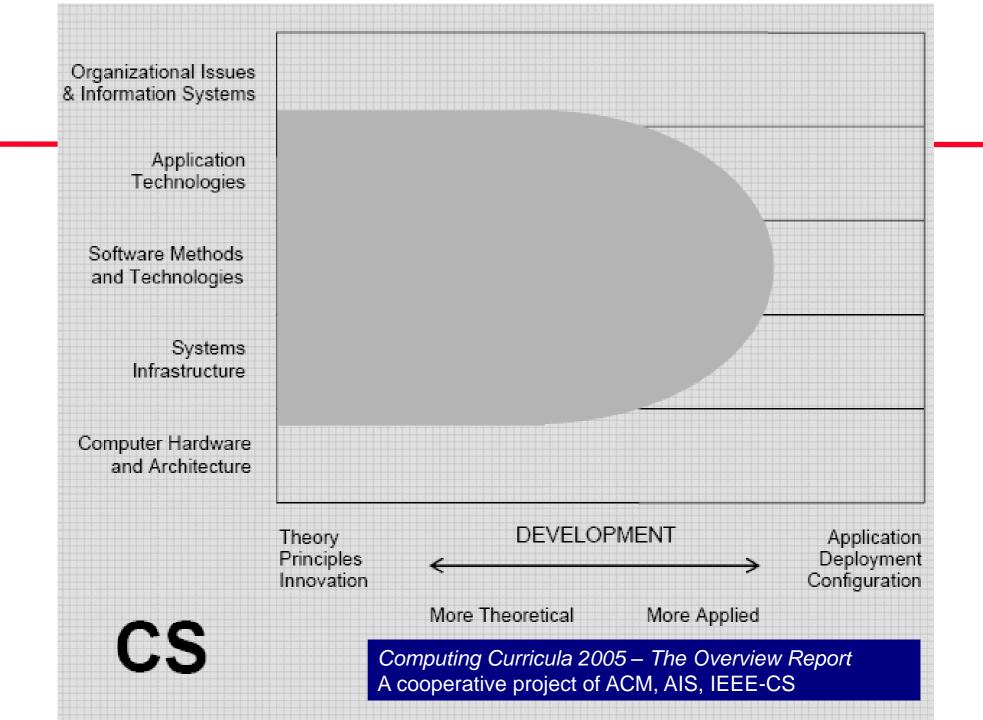
Computing - any goal-oriented *activity requiring, benefiting from*, or *creating* computers.

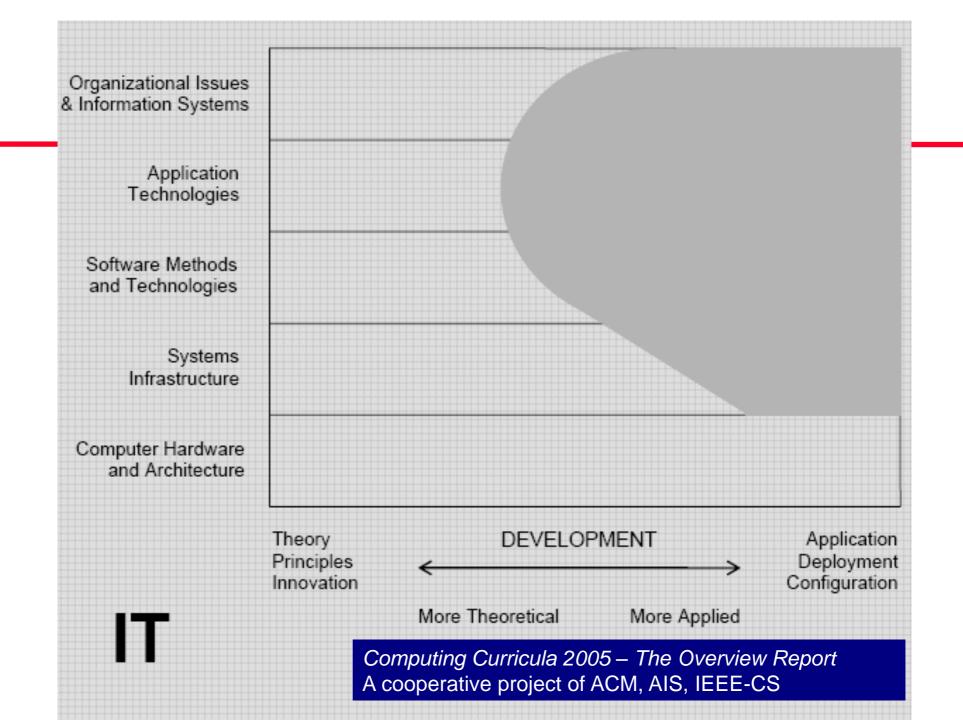
Computing disciplines:

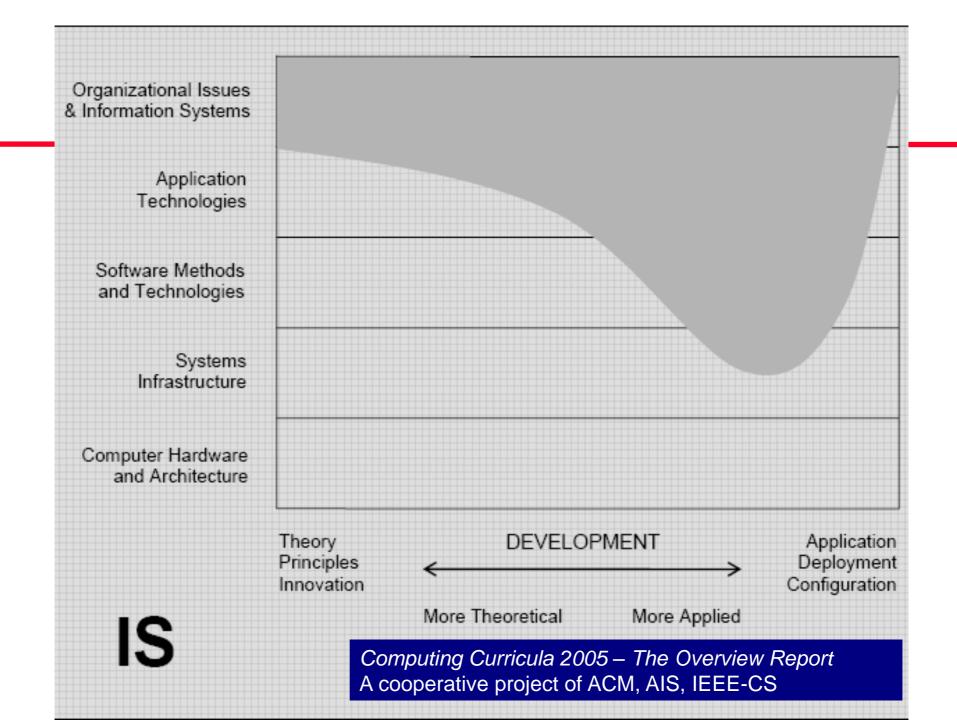
- Computer Engineering
- Computer Science
- Information Systems
- Information Technology
- Software Engineering

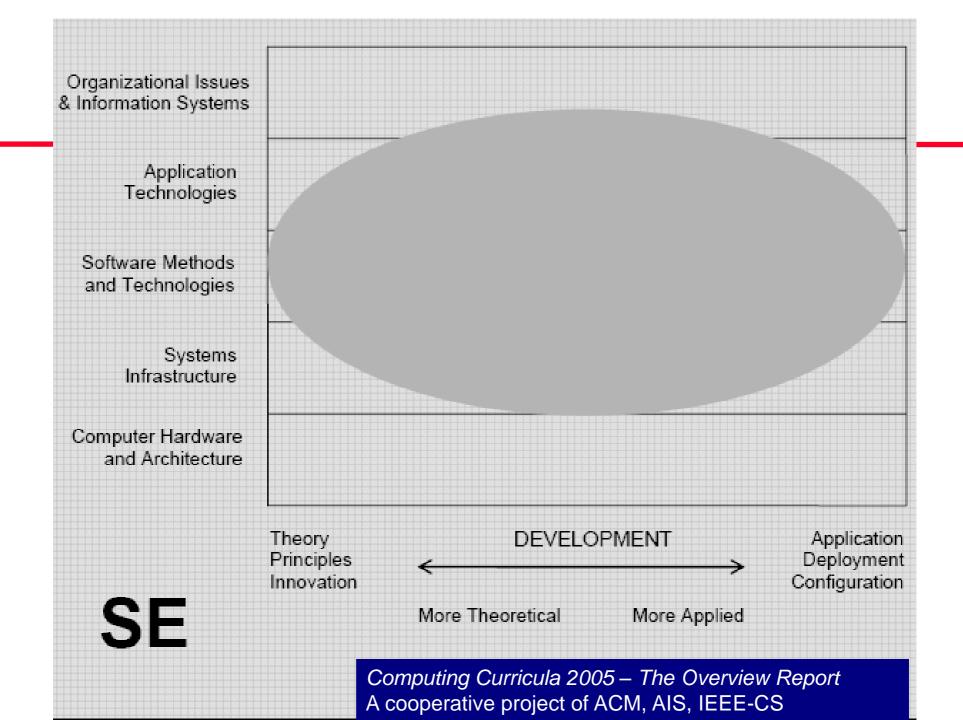
Computing Curricula 2005 – The Overview Report, A cooperative project of ACM, AIS, IEEE-CS

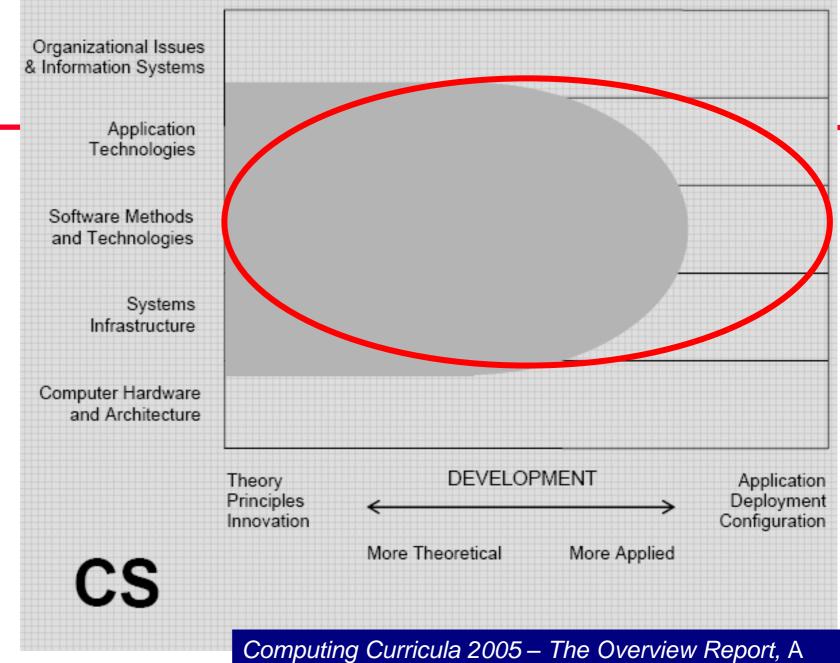












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