

# ADVANCED DATA STRUCTURES

## Summary

January 2021

We discussed, among others, about the following data structures and operations on them:

### Binary search trees

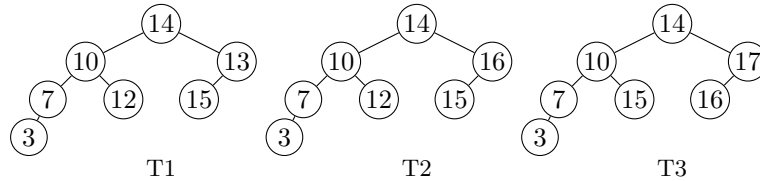
1. What information must be stored in the nodes of a binary search tree?
2. ANSWER: the key of the node, a pointer to the parent node, a pointer to the left child, a pointer to the right child, and satellite data.
3. What operations are of interest for binary search trees?

ANSWER:

- (a) **search**( $r, k$ ): search the node with key  $k$  in the binary search tree with root  $r$ , and return a pointer to it. If there is no node with key  $k$ , return **null**.
  - (b) **minimum**( $r$ ): return a pointer to the node with minimum key in the binary search tree with root  $r$ . If the tree is empty (that is,  $r$  is **null**), return **null**.
  - (c) **maximum**( $r$ ): return a pointer to the node with maximum key in the binary search tree with root  $r$ . If the tree is empty (that is,  $r$  is **null**), return **null**.
  - (d) **successor**( $r, x$ ): return a pointer to the successor of node  $x$  in the binary search tree with root  $r$ . If node  $x$  has no successor, return **null**.
  - (e) **predecessor**( $r, x$ ): return a pointer to the predecessor of node  $x$  in the binary search tree with root  $r$ . If node  $x$  has no predecessor, return **null**.
  - (f) **delete**( $r, z$ ): delete node  $z$  from the binary search tree with root  $r$  and return a pointer to the root of the final binary search tree.
  - (g) **insert**( $r, x$ ): insert node  $x$  in the binary search tree with root  $r$  and return a pointer to the root of the final binary search tree.. It is assumed that node  $p$  has no children.
4. What are the average and worst case runtime complexities of these operations when they are performed on a binary search tree with  $n$  nodes?

ANSWER: All operations have average runtime complexity  $O(\log n)$  and worst-case runtime complexity  $O(n)$ .

5. How are the keys distributed in the nodes of a binary search tree?  
 ANSWER: For every node  $n$  in the tree, the keys of the nodes of the left subtree of  $n$  are smaller than the key of  $n$ , and the keys of the nodes of the right subtree of  $n$  are larger than the key of  $n$ .
6. Which of the following binary trees is a binary search tree?



ANSWER: T2

7. Consider the binary search tree T2 from the previous exercise.
- Indicate the binary search tree produced by the insertion of a node with key 11 in T2.
  - Indicate the binary search tree produced by the deletion of the node with key 10 from T2.
8. Consider binary search trees whose nodes are instances of the C++ class

```
struct Node {
    int key;    // key
    Node *p;   // pointer to parent
    Node *left; // pointer to left child
    Node *right; // pointer to right child
    ...       // satellite data
}
```

You should be able to write down efficient implementations of the main operations on binary search trees, for example:

```
Node* search(Node* r, int k) {
    while(r!=null&& r->key!=k)
        r=(k<r->key)?r->left:r->right;
    return r;
}
```

```
Node* minimum(Node* r) {
    if (r == null) return r;
    while (r->left!=null) r=r->left;
    return r;
}
```

```

Node* maximum(Node* r) {
    if (r == null) return r;
    while (r->right!=null) r=r->right;
    return r;
}

Node* insert(Node* r, Node* x) {
    Node* u=r;
    Node* v=null;
    while(u!=null) {
        v=u;
        u=(x->key<u->key)?u->left:u->right;
    }
    x->p=v;
    if(v==null) { // r was null
        return x;
    }
    if(x->key<v->key) v->left=x;
    else v->right=x;
    return r;
}

Node* delete(Node* r, Node* z) {
    Node* newroot = r;
    Node* y=(z->left==null||z->right==null)?z:successor(r,z);
    Node* x=(y->left!=null)?y->left:y->right;
    if(x!=null) x->p=y->p;
    if(y->p==null)
        newroot=x;
    else if(y==y->p->left)
        y->p->left=x;
    else
        y->p->right=x;
    if(y!=z) {
        z->key=y->key;
        // copy y's satellite data into z
    }
    return newroot;
}

Node* successor(Node* r) {
    if(r==null) return r;
    if(r->right!=null) return minimum(r->right);
    Node* y=x->p;
    while((y!=null)&&(x==y->right)) {
        x=y;
    }
}

```

```
        y=y->p;
    }
    return y;
}
```

## Red-black trees

1. Indicate the general structure of a balanced red-black tree, and the red-black properties.

ANSWER: the nodes of a balanced red-black tree have the same structure as the nodes of a binary search tree, but they have an additional field which indicates the color of the node: red or black.

A balanced red-black tree has five red-black properties: (1) every node is either red or black, (2) the root is black, (3) every root Nil is black, (4) the children of a red node are black, and (5) every path from a node to a descendant leaf contains the same number of black nodes.

2. What are the main operations on red-black trees, and their worst case runtime complexity?

ANSWER: They are the same as for binary search trees. Their worst case runtime complexity is  $O(\log n)$

3. What is the purpose and effect of the operations LEFTROTATE and RIGHTROTATE on the nodes of red-black trees? You should be able to write down the pseudocode for these operations.

ANSWER:

4. What are the height and black height of a node  $x$  in a balanced red-black tree? You should be able to write down the pseudocode for these operations.

ANSWER: The height of  $x$  is the number of edges of a longest path from  $x$  to a leaf node. The black-height is the number of black nodes (including Nil) on the path from  $x$  to a leaf, not counting  $x$ .

Suppose the nodes of the tree are instances of the class `Node` where

```

enum Color {RED, BLACK};
struct Node {
    int key;
    Node *l, *r, *p; // pointers to left child, right child, and parent
    Color c;         // color
    ...             // satellite data
}

```

Then the height and black height of a node  $x$  in the tree can be computed efficiently as follows:

```

int h(Node* x) {
    if (x==nil) return 0;
    int h1=h(x->left), h2=h(x->right);
    return 1+(h1>h2)?h1:h2;
}

```

```

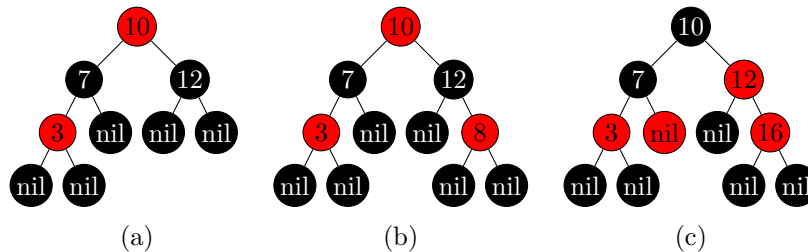
int bh(Node* x) {
    int blacks=0;
    if (x==nil) return 0;
    if(x->color==BLACK) blacks--;
    while(true) {
        if (x->color==BLACK) blacks++;
        x=x->left;
        if (x==nil) break;
    }
    return blacks+1;
}

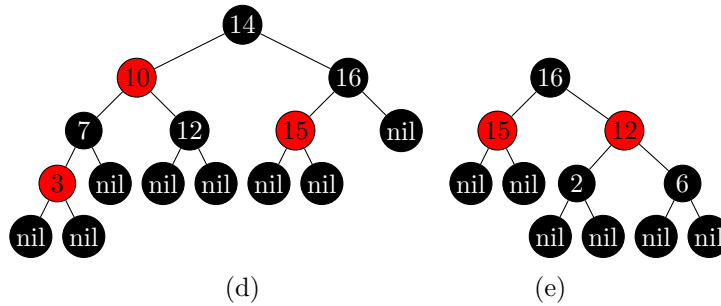
```

If the tree with root  $x$  has  $n$  nodes then

- (a)  $h(x)$  has worst case runtime complexity  $O(n)$
- (b)  $bh(x)$  has worst case runtime complexity  $O(\log n)$

5. Consider the following trees:

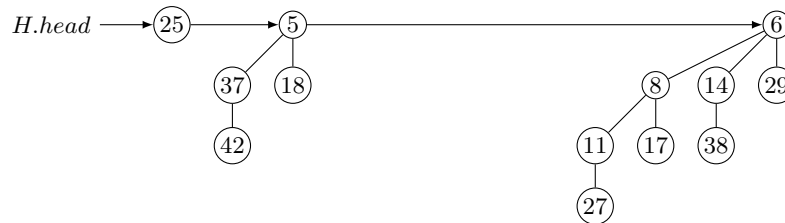




- (a) Which of them are balanced red-black trees?
  - (b) For the trees which are not balanced red-black trees, indicate the conditions which are not satisfied.
  - (c) For the balanced red-black trees, indicate their black-height.
6. Draw the balanced red-black tree produced by the insertion of a node with key 11 in the balanced red-black tree (d) from the previous exercise.
  7. Draw the balanced red-black tree produced by the removing the node with key 10 from the balanced red-black tree (d) from the previous exercise.

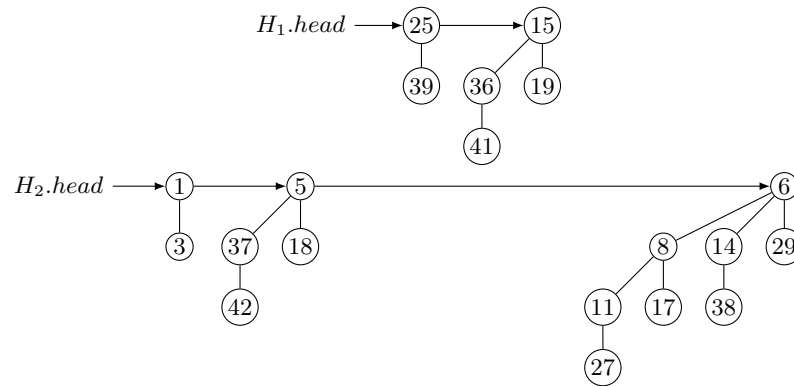
## Binomial heaps

1. What is a binomial tree?
2. Indicate a typical C++ class for the left-child, right-sibling representation of binomial trees. (Indicate the meanings of the fields of this class.) Indicate the additional condition that must be fulfilled by a heap-ordered binomial tree.
3. What is a binomial heap, and how can it be represented in C++?
4. Consider the binomial heap  $H$  depicted below:



- (a) Draw the binomial heap that results after deleting the node with minimum key from  $H$ .
- (b) Draw the binomial heap that results after decreasing key 27 to 7.

5. Draw the binomial heap that results by merging the binomial heaps  $H_1$  and  $H_2$  depicted below:



6. Write down the pseudocode for the operation  $\text{merge}(H_1, H_2)$  which computes a binomial heap  $H$  by merging the binomial heaps  $H_1$  and  $H_2$  (which are possibly destroyed).

What is the time-complexity of this operation, if we assume that  $m$  and  $n$  are the lengths of the root lists of  $H_1$  and  $H_2$ ?

7. Write down the pseudocode for the operation  $\text{min}(H)$  which computes the minimum key in a binomial heap  $H$  with  $n$  keys. What is the worst-case time complexity of this operation?

## Disjoint-set structures

1. What operations are well-supported by a disjoint-set structure?
2. Indicate how can we compute the connected components of an undirected graph  $G$  with a disjoint-set structure.
3. Indicate a C++ structure for the linked-list representation of a disjoint-set structure, together with its main operations.
4. Indicate a C++ structure for the rooted-tree representation of a disjoint-set structure, together with its main operations.

## Amortised Analysis

1. What is amortised analysis and how does it differ from average-case time analysis?
2. Indicate the most common techniques for amortised analysis.
3. A  $k$ -digit ternary counter counts upward from 0, by keeping the base-3 representation of a number in an array  $A[0..k-1]$  of digits between 0 and 2. Assume that

- ▶ the lowest-order digit is stored in  $A[0]$  and the highest-order digit is stored in  $A[k-1]$ , thus it represents the number  $x = \sum_{i=0}^{k-1} A[i] \cdot 3^i$ .
  - ▶ The initial number stored in the  $k$ -digit ternary counter is 0, thus  $A[0] = \dots = A[k-1] = 0$ .
- (a) Write down the pseudocode for the operation  $\text{INCREMENT}(A)$  which adds 1 modulo 3 to the counter.
  - (b) Use amortised analysis to show that the amortised cost of a sequence of  $n$  increment operations on an initially 0 counter of this kind takes  $O(1)$  time.

## Computational geometry

1. Suppose points in plane are represented in C++ as instances of the class

```
struct Point { float x, y; };
```

- (a) Define the function

```
float area(Point A, Point B, Point C)
```

which takes as inputs three distinct points A,B,C and returns the area of triangle ABC.

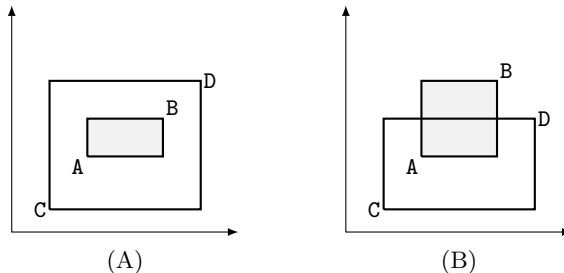
- (b) Suppose we have two rectangles whose edges are parallel with the axes of coordinates. Let

A,B be the lower-left and upper-right corners of the first rectangle, and  
C,D be the lower-left and upper-right corners of the second rectangle.

Define the function

```
bool inside(Point A, Point B, Point C, Point D)
```

which returns **true** if the first rectangle is inside the second rectangle, and **false** otherwise. For example, (A) depicts a situation when the function call should return **true**, and (B) indicates a situation when the function call should return **false**.



2. Let  $P_1, \dots, P_n$  be the enumeration of the points of a convex polygon, in clockwise order, and  $P$  another point.



- (a) Write down an algorithm that tests if  $P$  is on any of the bordering segments of this polygon.
- (b) Write down an algorithm which tests if  $P$  is in the interior of the convex polygon.

## String matching

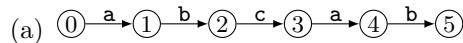
1. What is a keyword tree for a set  $P$  of patterns? How can it be used to find fast the occurrences of a pattern from  $P$  in a text  $T$ ?
2. You should be able to compute and draw the keyword tree for a set of patterns, together with its failure links.
3. Let  $P = \text{abcab}$ , and  $\delta : \{0, 1, 2, 3, 4, 5\} \times \{\mathbf{a}, \mathbf{b}, \mathbf{c}\} \rightarrow \{0, 1, 2, 3, 4, 5\}$  the transition function of the matching automaton  $\mathcal{A}_P$ . Remember that, for every  $0 \leq i \leq 5$  and  $x \in \{\mathbf{a}, \mathbf{b}, \mathbf{c}\}$ ,  $\delta(i, x) = j$  if and only if  $P[1..j]$  is the longest prefix of  $P$  that is a suffix of  $P[1..i]x$ .

- (a) Fill in the following table with the values of  $\delta(i, x)$ :

$\delta(i, x)$	$x = \mathbf{a}$	$x = \mathbf{b}$	$x = \mathbf{c}$
$i = 0$			
$i = 1$			
$i = 2$			
$i = 3$			
$i = 4$			
$i = 5$			

- (b) What is the value of  $\delta^*(\text{ababc})$ ?

ANSWER:

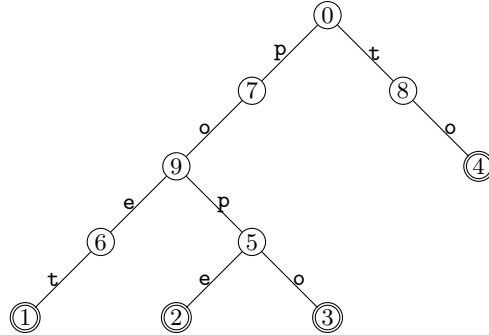


$\delta(i, x)$	$x = \mathbf{a}$	$x = \mathbf{b}$	$x = \mathbf{c}$
$i = 0$	1	0	0
$i = 1$	1	2	0
$i = 2$	1	0	3
$i = 3$	4	0	0
$i = 4$	1	5	0
$i = 5$	1	0	3

- (b) Remember that  $\delta^*(\epsilon) = 0$  and  $\delta^*(wx) = \delta(\delta^*(w), x)$ . Thus

$$\begin{aligned} \delta^*(\mathbf{a}) &= \delta(\delta^*(\epsilon), \mathbf{a}) = \delta(0, \mathbf{a}) = 1, \\ \delta^*(\mathbf{ab}) &= \delta(\delta^*(\mathbf{a}), \mathbf{b}) = \delta(1, \mathbf{b}) = 2, \\ \delta^*(\mathbf{aba}) &= \delta(\delta^*(\mathbf{ab}), \mathbf{a}) = \delta(2, \mathbf{a}) = 1, \\ \delta^*(\mathbf{abab}) &= \delta(\delta^*(\mathbf{aba}), \mathbf{b}) = \delta(1, \mathbf{b}) = 2, \\ \delta^*(\mathbf{ababc}) &= \delta(\delta^*(\mathbf{abab}), \mathbf{c}) = \delta(2, \mathbf{c}) = 3. \end{aligned}$$

4. The following is a keyword tree without failure links for three set of patterns {poet, popo, pope, to}.

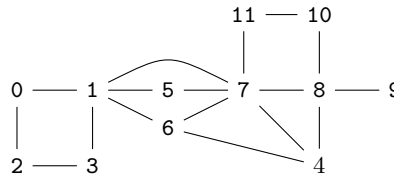


Indicate the failure links that should be added to this keyword tree,

ANSWER:  $0 \rightarrow 0, 7 \rightarrow 0, 8 \rightarrow 0, 9 \rightarrow 0, 4 \rightarrow 0, 6 \rightarrow 0, 5 \rightarrow 7, 1 \rightarrow 8, 2 \rightarrow 0, 3 \rightarrow 9.$

## Tree traversals

1. Let  $G$  be the graph depicted below:



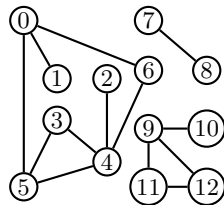
and represented with the adjacency lists

$\text{adj}[0] = [1, 2]$        $\text{adj}[1] = [0, 3, 5, 6, 7]$        $\text{adj}[2] = [0, 3]$        $\text{adj}[3] = [1, 2]$   
 $\text{adj}[4] = [6, 7, 8]$        $\text{adj}[5] = [1, 7]$        $\text{adj}[6] = [1, 4, 7]$        $\text{adj}[7] = [1, 4, 5, 6, 8, 11]$   
 $\text{adj}[8] = [4, 7, 9, 10]$        $\text{adj}[9] = [8]$        $\text{adj}[10] = [8, 11]$        $\text{adj}[11] = [7, 10]$

- (a) Draw the search tree produced by breadth-first traversal from source node 11. Fill in the table below with the representation with predecessors of this breadth-first search tree:

Node $x$	0	1	2	3	4	5	6	7	8	9	10	11
$p[x]$												nil

2. Consider the following graph represented with adjacency lists



$\text{adj}[0] = [1, 5, 6], \text{adj}[1] = [0], \text{adj}[2] = [4],$   
 $\text{adj}[3] = [4, 5], \text{adj}[4] = [2, 3, 5, 6], \text{adj}[5] = [0, 3, 4],$   
 $\text{adj}[6] = [0, 4], \text{adj}[7] = [8], \text{adj}[8] = [7],$   
 $\text{adj}[9] = [10, 11, 12], \text{adj}[10] = [9], \text{adj}[11] = [9, 12],$   
 $\text{adj}[12] = [9, 11].$

- (a) Draw the search trees produced by the breadth-first traversal of all nodes of this graph. We assume that the nodes are enumerated in the order

[0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12].

- (b) Draw the search trees produced by the breadth-first traversal of all nodes of this graph. We assume that the nodes are enumerated in the order

[0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12].

- (c) Write the lists that enumerate the nodes of the graph in the preorder/postorder and reverse postorder produced by the depth-first traversal performed before.

3. Consider the digraph  $G$  represented with the adjacency lists

$\text{adj}[0] = [1]$        $\text{adj}[1] = [2]$        $\text{adj}[2] = [3, 4]$        $\text{adj}[3] = [0]$   
 $\text{adj}[4] = [5]$        $\text{adj}[5] = [6]$        $\text{adj}[6] = [4, 7]$        $\text{adj}[7] = []$

- (a) What are the values of  $x, y, z, t$  if the following is a representation with adjacency lists of the reverse digraph  $G^r$ :

$\text{adj}[0] = [x]$        $\text{adj}[1] = [0]$        $\text{adj}[2] = [1]$        $\text{adj}[3] = [2]$   
 $\text{adj}[4] = [2, y]$        $\text{adj}[5] = [4]$        $\text{adj}[6] = [z]$        $\text{adj}[7] = [t]$

- (b) Draw the trees produced by the depth first traversal of all nodes in  $G^r$ . We assume that the nodes of the tree are enumerated in the order

[0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12].

- (c) Write the lists  $L$  that enumerate the nodes of the graph in the reverse postorder produced by the previous depth-first tree traversal of all nodes of  $G^r$ .

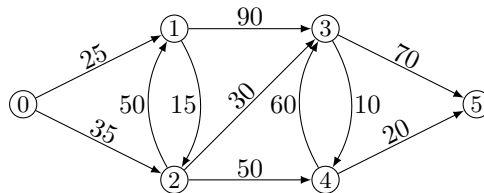
- (d) Draw search trees produced by the depth-first traversal of all nodes of  $G$ , if we assume that the nodes are enumerated in the order given by the previously computed list  $L$ .

- (e) How many strong components has  $G$ ? Indicate them.

## Dijkstra algorithm

Example:

1. Consider the following weighted digraph:



Indicate the representation with predecessors of the tree of paths with minimum weight computed by Dijkstra algorithm from source node 0, and draw that tree.

ANSWER: Remember that Dijkstra algorithm computes progressively the values of 2 arrays:

- $d[x]$ : the weighted distance from source node (which, in this example is 0) to node  $x$
- $p[x]$ : the predecessor (or parent) of  $x$  in the tree of paths with minimum weight from source node to  $x$ .

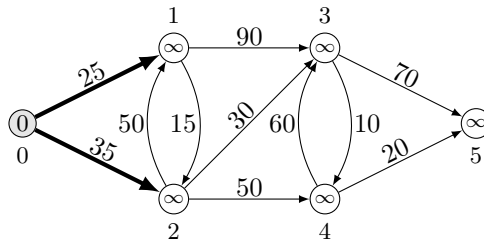
It works as follows.

1. Arrays  $p$  and  $d$  are initialized as follows:

Node $x$	0	1	2	3	4	5
$p[x]$	null	0	0	0	0	0
$d[x]$	0	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$

The initialization step is followed by 5 rounds of relaxation steps (In general, number of rounds = number of nodes in the graph). Every relaxation round selects an unmarked node, marks it, and relaxes all edges from the selected node to unmarked nodes.

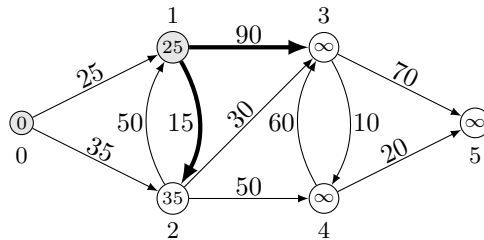
The **first relaxation round** selects node 0, because  $d[x]$  has minimum value for the unmarked node  $x = 0$ . We relax the edges  $0 \xrightarrow{25} 1$  and  $0 \xrightarrow{35} 2$ :



After this round, arrays  $p$  and  $d$  have values

Node $x$	0*	1	2	3	4	5
$p[x]$	null	0	0	0	0	0
$d[x]$	0	<b>25</b>	<b>35</b>	$\infty$	$\infty$	$\infty$

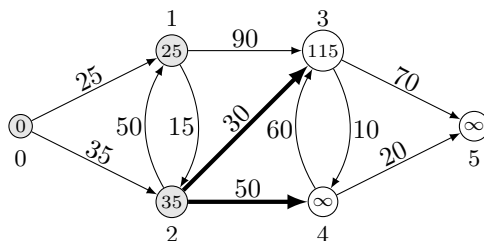
The **second relaxation round** selects node 1, because  $d[x]$  has minimum value for the unmarked node  $x = 1$ . We relax the edges  $1 \xrightarrow{15} 2$  and  $1 \xrightarrow{90} 3$ :



After this round,  $\mathbf{p}$  and  $\mathbf{d}$  have values

Node $x$	$0^*$	$1^*$	$2$	$3$	$4$	$5$
$\mathbf{p}[x]$	null	0	0	1	0	0
$\mathbf{d}[x]$	0	25	35	<b>115</b>	$\infty$	$\infty$

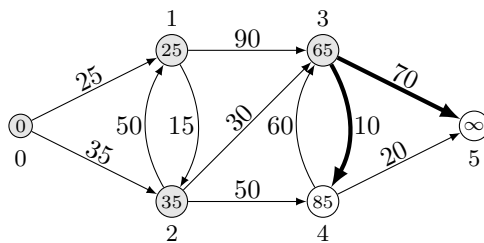
The **third relaxation round** selects node 2, because  $\mathbf{d}[x]$  is minimum for the unmarked node  $x = 2$ . We relax the edges  $2 \xrightarrow{30} 3$  and  $2 \xrightarrow{50} 4$ :



After this round,  $\mathbf{p}$  and  $\mathbf{d}$  have values

Node $x$	$0^*$	$1^*$	$2^*$	$3$	$4$	$5$
$\mathbf{p}[x]$	null	0	0	2	2	0
$\mathbf{d}[x]$	0	25	35	<b>65</b>	<b>85</b>	$\infty$

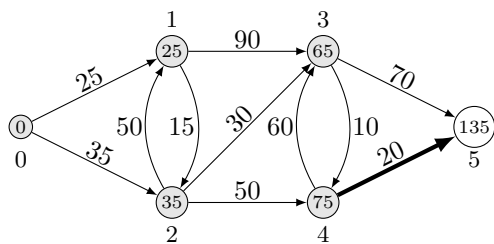
The **fourth relaxation round** selects node 3, because  $\mathbf{d}[x]$  is minimum for the unmarked node  $x = 3$ . We relax the edges  $3 \xrightarrow{10} 4$  and  $3 \xrightarrow{70} 5$ :



After this round,  $\mathbf{p}$  and  $\mathbf{d}$  have values

Node $x$	$0^*$	$1^*$	$2^*$	$3^*$	$4$	$5$
$\mathbf{p}[x]$	null	0	0	2	3	3
$\mathbf{d}[x]$	0	25	35	65	<b>75</b>	<b>135</b>

The **fifth relaxation round** selects node 4, because  $\mathbf{d}[x]$  is minimum for the unmarked node  $x = 4$ . We relax the edge  $4 \xrightarrow{20} 5$ :



After this round,  $p$  and  $d$  have values

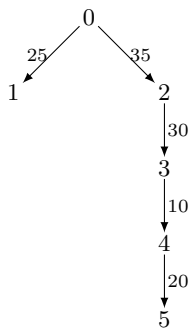
Node $x$	$0^*$	$1^*$	$2^*$	$3^*$	$4^*$	$5$
$p[x]$	null	0	0	2	3	4
$d[x]$	0	25	35	65	75	<b>95</b>

These are the final values of arrays  $p$  and  $d$ .

- The representation with predecessors of the tree of paths with minimum weights from source node 0, computed with Dijkstra algorithm is

Node $x$	$0^*$	$1^*$	$2^*$	$3^*$	$4^*$	$5$
$p[x]$	null	0	0	2	3	4

This tree looks as follows:



## Working with polynomials

- Suppose  $a = (a_0, a_1, \dots, a_{n-1})$  is the coefficient representation of a polynomial

$$A(x) = a_0 + a_1 x + \dots + a_{n-1} x^{n-1}$$

with degree-bound  $n$ .

Write the pseudocode of the operation  $\text{deriv}(a)$  which computes the coefficient representation of the polynomial

$$A'(x) = a_1 + 2 a_2 x + 3 a_3 x^2 + \dots + (n-1) a_{n-1} x^{n-2}$$

and indicate the runtime complexity of your implementation.