

# Course 6

# Finite Automata/Finite State Machines



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The structure and the content of the lecture is based on <http://www.eecs.wsu.edu/~ananth/CptS317/Lectures/index.htm>



# FA with $\varepsilon$ -Transitions

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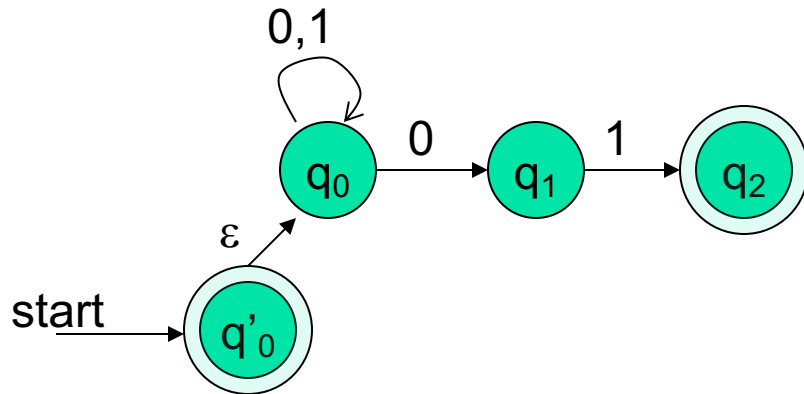
- We can allow explicit  $\varepsilon$ -transitions in finite automata
  - i.e., a transition from one state to another state without consuming any additional input symbol (then an NFA is allowed to make a transition spontaneously, without receiving an input symbol).
  - Explicit  $\varepsilon$ -transitions between different states introduce non-determinism.
  - Makes it easier sometimes to construct NFAs

**Definition:  $\varepsilon$ -NFAs are those NFAs with at least one explicit  $\varepsilon$ -transition defined.**

- $\varepsilon$ -NFAs have one more column in their transition table

# Example of an $\epsilon$ -NFA

$L = \{w \mid w \text{ is empty, or if non-empty will end in } 01\}$



- $\epsilon$ -closure of a state  $q$ , **ECLOSE( $q$ )**, is the set of all states (including itself) that can be reached from  $q$  by repeatedly making an arbitrary number of  $\epsilon$ -transitions (*all states reached by making an  $\epsilon$  transition*).

$\delta_\epsilon$	0	1	$\epsilon$	
$*q'_0$	$\emptyset$	$\emptyset$	$\{q'_0, q_0\}$	ECLOSE( $q'_0$ )
$q_0$	$\{q_0, q_1\}$	$\{q_0\}$	$\{q_0\}$	ECLOSE( $q_0$ )
$q_1$	$\emptyset$	$\{q_2\}$	$\{q_1\}$	ECLOSE( $q_1$ )
$*q_2$	$\emptyset$	$\emptyset$	$\{q_2\}$	ECLOSE( $q_2$ )

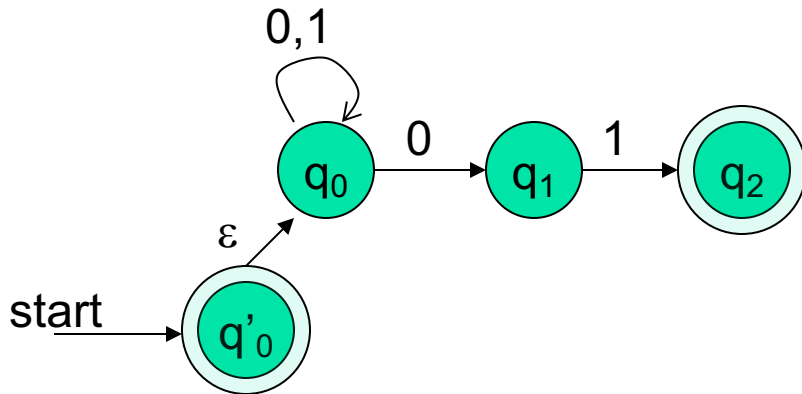
To simulate any transition:

Step 1) Go to all immediate destination states.

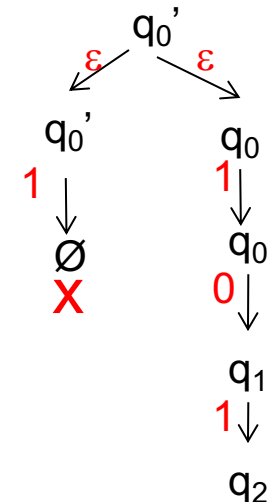
Step 2) From there go to all their  $\epsilon$ -closure states as well.

# Example of an $\epsilon$ -NFA

$L = \{w \mid w \text{ is empty, or if non-empty will end in } 01\}$



Simulate for  $w=101$ :



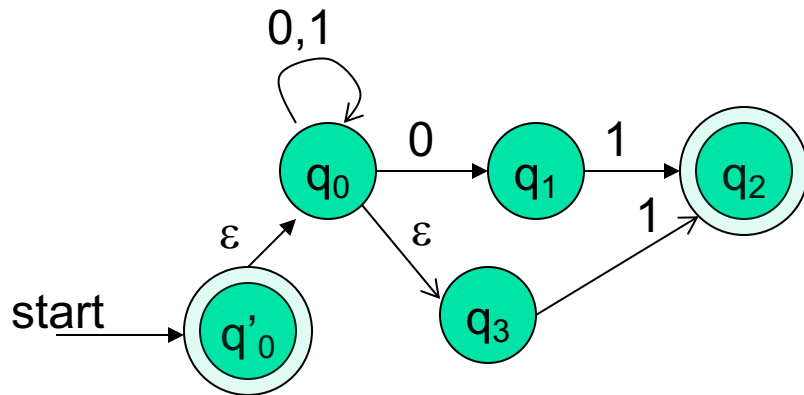
$\delta_\epsilon$	0	1	$\epsilon$
*q'0	$\emptyset$	$\emptyset$	{q'0, q0} ← ECLOSE(q'0)
q0	{q0, q1}	{q0}	{q0} ← ECLOSE(q0)
q1	$\emptyset$	{q2}	{q1}
*q2	$\emptyset$	$\emptyset$	{q2}

To simulate any transition:

Step 1) Go to all immediate destination states.

Step 2) From there go to all their  $\epsilon$ -closure states as well.

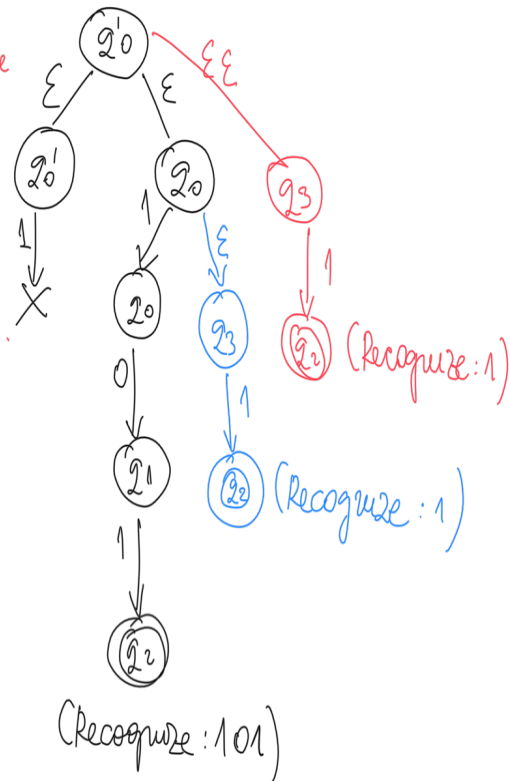
# Example of another $\epsilon$ -NFA



$\delta_E$	0	1	$\epsilon$
$*q'_0$	$\emptyset$	$\emptyset$	$\{q'_0, q_0, q_3\}$
$q_0$	$\{q_0, q_1\}$	$\{q_0\}$	$\{q_0, q_3\}$
$q_1$	$\emptyset$	$\{q_2\}$	$\{q_1\}$
$*q_2$	$\emptyset$	$\emptyset$	$\{q_2\}$
$q_3$	$\emptyset$	$\{q_2\}$	$\{q_3\}$

Simulate for  $w=101$ :

*It is enough to construct just the path recognizing the word 101, we took the others for exemplification.*





# Equivalency of DFA, NFA, $\epsilon$ -NFA

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- Theorem: A language  $L$  is accepted by some  $\epsilon$ -NFA if and only if  $L$  is accepted by some DFA ( $L(DFA) = L(\epsilon\text{-NFA})$ ).
  
- We have:
  - $DFA \equiv NFA \equiv \epsilon\text{-NFA}$
  - (all accept Regular Languages)



# Equivalency of DFA, NFA, $\varepsilon$ -NFA (cont'd)

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- **Direction:**  $L(DFA) \subseteq L(\varepsilon\text{-NFA})$ . We turn a DFA into a  $\varepsilon$ -NFA by adding transitions  $\delta(q, \varepsilon) = \emptyset$  for each  $q \in Q$  (states of the DFA).
- **Direction:**  $L(DFA) \supseteq L(\varepsilon\text{-NFA})$  (see next slide).



# Eliminating $\varepsilon$ -transitions

Let  $E = \{Q_E, \Sigma, \delta_E, q_0, F_E\}$  be an  $\varepsilon$ -NFA

Goal: To build DFA  $D = \{Q_D, \Sigma, \delta_D, \{q_D\}, F_D\}$  s.t.  
 $L(D) = L(E)$

Construction:

1.  $Q_D =$  all reachable subsets of  $Q_E$  factoring in  $\varepsilon$ -closures
2.  $q_D = \text{ECLOSE}(q_0)$
3.  $F_D =$  subsets  $S$  in  $Q_D$  s.t.  $S \cap F_E \neq \emptyset$
4.  $\delta_D$ : for each subset  $S$  of  $Q_E$  and for each input symbol  $a \in \Sigma$ :
  - Let  $R = \bigcup_{p \in S} \delta_E(p, a)$  // go to destination states
  - $\delta_D(S, a) = \bigcup_{r \in R} \text{ECLOSE}(r)$  // from there, take a union of all their  $\varepsilon$ -closures





## Eliminating $\varepsilon$ -transitions (cont'd)

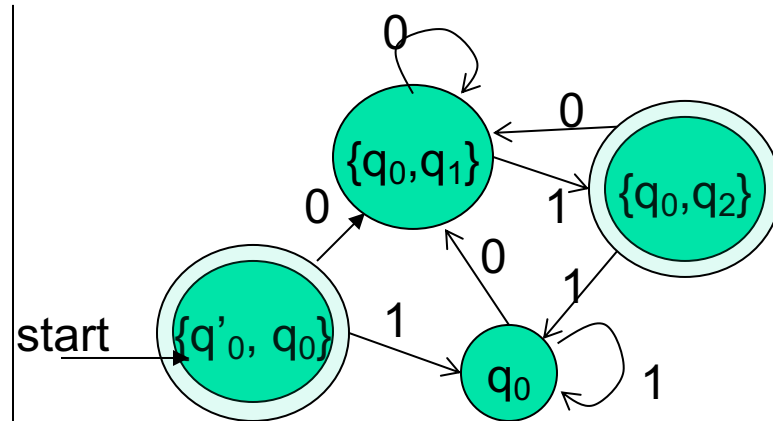
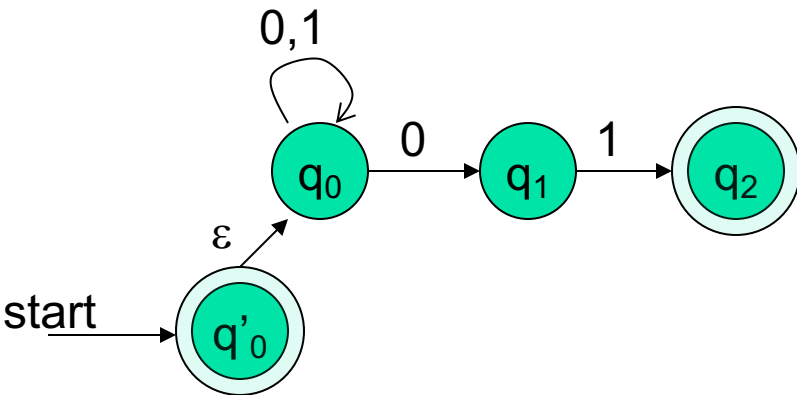
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In other words:

1. Compute all  $\varepsilon$ -closures of all states of the  $\varepsilon$ -NFA
2. Compute a transition table  $T$  of the  $\varepsilon$ -NFA
3. From  $T$  compute the DFA transition table from the first state and take the resulting states as the next state in each step.

# Example 1: $\epsilon$ -NFA $\rightarrow$ DFA

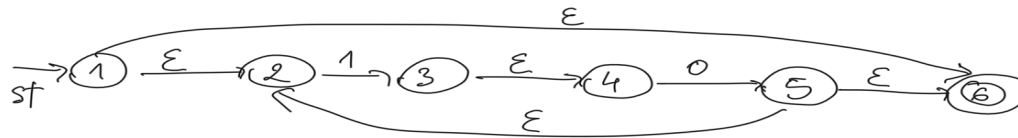
$L = \{w \mid w \text{ is empty, or if non-empty will end in } 01\}$



$\delta_E$	0	1	$\epsilon$
$\rightarrow *q'_0$	$\emptyset$	$\emptyset$	$\{q'_0, q_0\}$
$q_0$	$\{q_0, q_1\}$	$\{q_0\}$	$\{q_0\}$
$q_1$	$\emptyset$	$\{q_2\}$	$\{q_1\}$
$*q_2$	$\emptyset$	$\emptyset$	$\{q_2\}$

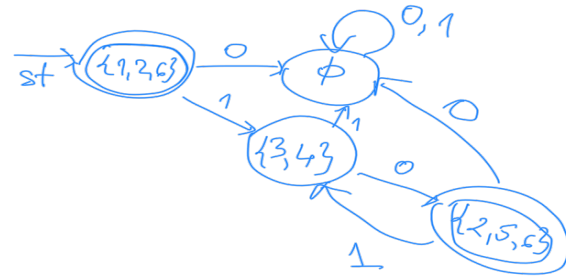
$\delta_D$	0	1
$\rightarrow * \{q'_0, q_0\}$	$\emptyset \cup \{q_0, q_1\}$	$\emptyset \cup \{q_0\}$
$\{q_0, q_1\}$	$\{q_0, q_1\} \cup \emptyset$	$\{q_0\} \cup \{q_2\}$
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$
$* \{q_0, q_2\}$	$\{q_0, q_1\} \cup \emptyset$	$\{q_0\} \cup \emptyset$

# Example 2: $\epsilon$ -NFA $\rightarrow$ DFA



$$\text{Eclose}(1) = \{1, 2, 6\}$$

$\delta_D$	0	1
$\{1, 2, 6\}$	$\emptyset \cup \emptyset \cup \emptyset$	$\{3, 4\}$
$\emptyset$	$\emptyset$	$\emptyset$
$\{3, 4\}$	$\{5, 2, 6\} \cup \{5, 2, 6\}$	$\emptyset$
$\{2, 5, 6\}$	$\emptyset \cup \emptyset \cup \emptyset$	$\{3, 4\} \cup \{3, 4\} \cup \emptyset$





# Summary

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- $\epsilon$ -NFA conversion
- Expressive power of  $\epsilon$ -NFAs and DFAs.