# Algorithms and Data Structures (II) 

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April 21, 2020

## Where are we ?

■ Wanted: data structure to support dynamic sets
■ Simplest form: (vectors), linked lists, stacks, queues.
■ Hash tables: $O(1)$ performance under certain conditions.
■ Tree-like data structures: wanted INSERT, DELETE, SEARCH in $O(\log n)$ time.

- AVL trees, red-black trees: met this bound.


## Why did we want dynamic sets to start with ?

■ To improve algorithms.
■ Second part of today: brief respite from data structures.
■ Computational geometry
■ We'll see some algorithms that use stacks, red-black trees.

## First part: Augmenting Data Structures (e.g. Red-Black Trees)

■ What happens if a data structure doesn't support all the operations you want ?
■ Augment it: modify it to support the new operations.
■ Might need to add additional fields. These need to be maintained.

## Augmenting Data Structures

■ What if no existing data structure fits your needs?
■ Invent a new one, or ...
■ More realistic (in practice): slightly modify a "standard" data structure to support more operations.
■ Done by storing extra information in it
■ Not always straightforward: new information must be updated and maintained by D.S. operations.

## Augmenting Data Structures

## Example: two data structures obtained by modifying red-black trees

■ First data structure: supports order statistics queries on a dynamic set.

- Find i'th number in a set or the rank of an element.

■ Second data structure: maintain a set of intervals (e.g. time intervals).
■ Plus: a general result about augmenting Data Structures.

## Dynamic order statistics

■ Order statistic tree: red-black tree with one extra field per node: size of the subtree rooted at that node.
■ Thus fields: key, color, p, left, right, size.
■ $\operatorname{size}[n i l[T]]=0$.
■ $\operatorname{size}[x]=\operatorname{size}[\operatorname{left}[x]]+\operatorname{size}[\operatorname{right}[x]]+1$.
■ Supports OS - SELECT $(x, i)$ : return $i$ 'th smallest element in the tree rooted at $x$. $O(\log n)$ time.
$■$ Supports $O S-R A N K(T, x)$ : return the rank of $x$ in the tree $T . O(\log n)$ time.

## Order statistics tree



Figure 14.1 An order-statistic tree, which is an augmented red-black tree. Shaded nodes are red, and darkened nodes are black. In addition to its usual fields, each node $x$ has a field size[x], which is the number of nodes in the subtree rooted at $x$.

## Selecting i'th element

■ If $i=\operatorname{size}[$ left $(x)]+1$ then (by BST property) node $x$ is the $i$ 'th element. Return $x$.

- If $i \leq \operatorname{size}[\operatorname{left}(x)]$ then node is in left $[x]$. $i^{\prime t}$ th element. Call procedure recursively.
- If $i>\operatorname{size}[l e f t(x)]+1$ then node is in $\operatorname{right}[x] . i-\operatorname{size}[l e f t(x)]^{\prime}$ th element. Call procedure recursively.
- Running time: proportional to the height of the tree: $O(\log n)$.

```
OS-Select \((x, i)\)
\(1 \quad r \leftarrow \operatorname{size}[\) left \([x]]+1\)
2 if \(i=r\)
3 then return \(x\)
4 elseif \(i<r\)
5 then return OS-Select (left \([x], i)\)
6 else return OS-SELECT ( \(r i g h t[x], i-r\) )
```

```
OS-RANK ( \(T, x\) )
\(r \leftarrow \operatorname{size}[\) left \([x]]+1\)
\(y \leftarrow x\)
while \(y \neq \operatorname{root}[T]\)
    do if \(y=\operatorname{right}[p[y]]\)
then \(r \leftarrow r+\operatorname{size}[\operatorname{left}[p[y]]]+1\)
    \(y \leftarrow p[y]\)
7 return \(r\)
```

■ Perform inorder traversal.

- Return rank of node $x$ in this traversal.

■ Move pointer $y$ from $x$ up towards $\operatorname{root}(T)$.
■ Maintains the following invariant: at the start of each iteration of the while loop, $r$ is the rank of $k e y[x]$ in the subtree rooted at $y$.
■ If $y$ is a right child, add the size of its left child to the count.
■ Each iteration: $O(1)$ time. y goes up the tree, time complexity $O(\log n)$.

## Maintaining subtree sizes: Insertion.

■ During LEFT/RIGHT rotations.
■ INSERTION. First phase: go from the root to the frontier, inserting the new node as the child of an existing node. new node gets size of 1. Each node from $x$ to the path: size increases by 1. $O(\log n)$.
■ Second phase: go up the tree, changing colors, and maintaining the red-black property by rotations.
■ Second phase: changes via LEFT/RIGHT rotations.
■ LEFT-ROTATE: add lines

- size[y] $\leftarrow \operatorname{size[x].~}$
$-\operatorname{size}[x] \leftarrow \operatorname{size}[\operatorname{left}[x]]+\operatorname{size}[\operatorname{right}[x]]+1$.
■ to rotation pseudocode.
■ RIGHT-ROTATE: symmetric.


## Maintaining size during rotations.



Figure 14.2 Updating subtree sizes during rotations. The link around which the rotation is performed is incident on the two nodes whose size fields need to be updated. The updates are local, requiring only the size information stored in $x, y$, and the roots of the subtrees shown as triangles.

## Maintaining subtree sizes: Deletion.

■ DELETION: two phases.
■ First phase: delete node. Update tree size on the path from the node to the top. Decrement by 1 for each node.

- Rotations: as for insertion.


## How to augment a data structure

■ Four steps:
■ 1. Choose underlying data structure.

- 2. Determine additional information to be maintained.
- 3. Verify that additional information can be maintained in the D.S. operations.

■4. develop new operations required by new fields.
(1) Choose red-black trees. Clue: supports other dynamic set operations on total order: MINIMUM, MAXIMUM, SUCCESSOR, PREDECESSOR.
(2) We didn't need field size to implement OS-SELECT, OS-RANK, but then operations wouldn't run in $O(\log n)$ time. Additional information to be maintained: sometimes pointer rather than data.
(3) Ideally only a few elements need to be updated to maintain D.S. E.g. if we simply stored in each node it rank in the tree then OS-SELECT and OS-UPDATE would be efficient but inserting a smallest node causes changes in the whole tree.
(4) Developed OS-SELECT, OS-RANK. Occasionally, instead of new operations, speed-up old ones.

## Augmenting red-black trees

## Theorem <br> Let $f$ be a field that augments a RB tree of n nodes, and suppose the contents of f for node $x$ can be computed in $O(1)$ using only information in node $x$, left $[x]$ and right $[x]$, including $f[\operatorname{left}[x]]$ and $f[\operatorname{right}[x]]$. Then we can maintain the values off in all nodes in $T$ during insertion and deletion without asymptotically affecting $O(\log n)$ performance.

Proof idea: change in field $f$ at a node $x$ propagates only to ancestors of $x$ in the tree.

## Interval trees

- closed interval: $\left[t_{1}, t_{2}\right]$. Also open, half-open intervals.

■ $i=\left[t_{1}, t_{2}\right]$. $\operatorname{low}[i]=t_{1}$, high $[i]=t_{2}$.

- $i$ and $i^{\prime}$ overlap if $i \bigcap i^{\prime} \neq \varnothing$. That is low $[i] \leq$ high $\left[i^{\prime}\right]$ and $\operatorname{low}\left[i^{\prime}\right] \leq$ high $[i]$.
- Want: Data structure representing a dynamic set of intervals.

■ Must support the following operations:

- INTERVAL - INSERT $(T, x)$ : adds element $x$, whose int field contains an interval.
- INTERVAL - DELETE $(T, x)$ : removes element $x$ from $T$.
- INTERVAL - SEARCH $(T, i)$ : return pointer to an element $x$ such that int $[x]$ overlaps $i$, or nil if no such element found.

■ Any two intervals satisfy interval trichotomy: three alternatives:
(1) $i$ and $i^{\prime}$ overlap.
(2) $i$ is to the left of $i^{\prime}\left(h i g h[i]<\operatorname{low}\left[i^{\prime}\right]\right)$.
(3) $i$ is to the right of $i^{\prime}$. (low $\left.[i]>\operatorname{high}\left[i^{\prime}\right]\right)$.


Figure 14.3 The interval trichotomy for two closed intervals $i$ and $i^{\prime}$. (a) If $i$ and $i^{\prime}$ overlap, there are four situations; in each, low $[i] \leq \operatorname{high}\left[i^{\prime}\right]$ and low $\left[i^{\prime}\right] \leq$ high $[i]$. (b) The intervals do not overlap, and high $[i]<\operatorname{low}\left[i^{\prime}\right]$. (c) The intervals do not overlap, and high[i' $]<\operatorname{low}[i]$.

## Interval trees: Implementation

(1) Possible clue: intervals (partial) ordering. Might try to modify a total order. Then red-black tree. Each node $x$ stores an interval int $[x]$.

- $\operatorname{key}[x]=\operatorname{low}[\operatorname{int}[x]]$.
(2) Additional info: $\max [x]$, the maximum value of any endpoint of an interval stored in the subtree rooted at $x$.
(3) Maintain info: $\max [x]=\max (h i g h[\operatorname{int}[x]], \max [\operatorname{left}[x]], \max [\operatorname{right}[x]])$.
(4) By applying previous theorem: insertion/deletion $O(\log n)$ while maintaining $\max [x]$.


# Interval tree 



(b)

Figure 14.4 An interval tree. (a) A set of 10 intervals, shown sorted bottom to top by left endpoint. (b) The interval tree that represents them. An inorder tree walk of the tree lists the nodes in sorted order by left endpoint.

## INTERVAL-SEARCH

■ finds a node in tree $T$ whose interval overlaps interval $i$, returns sentinel node $n i l[T]$ if no overlapping interval found.
■ Search starts at the root and proceeds downwards.
■ Chooses left or right subtree based on the maximum element in the left subtree of $x$.

■ If $\max [\operatorname{left}[x]]$ is $\geq \operatorname{low}[i]$ (of course, left $[x] \neq$ nil $[T]$ ) go left.
■ otherwise go right.
■ takes $O(\log n)$ time since each basic loop takes $O(1)$ time and the height of the RB tree is $O(\log n)$.

```
INTERVAL-SEARCH(T,i)
1 x}\leftarrow\operatorname{root[T]
2 while }x\not=\mathrm{ nil[TT] and i does not overlap int [x]
do if left [x] \not= nil[T] and max[left [x]] \geqlow[i]
        then }x\leftarrowleft[x
        else }x\leftarrow\operatorname{right}[x
    return }
```


## Correctness of INTERVAL-SEARCH

■ Why is it enough to examine a single path ?
■ Idea: search proceeds in a "safe direction".
■ INVARIANT: If tree $T$ contains an interval that overlaps $i$ then there is such an interval in the subtree rooted at $x$.
■ Initialization: clearly satisfied, $x=\operatorname{root}[T]$.
■ Either line 4 or line 5 executed.
■ Line 5 executed: because left $[x]=$ nil $[T]$ or max[left $[x]]<$ low $[i]$. The subtree rooted at left $[x]$ does not contain any interval that overlaps $i$.
■ If such an interval is found in $T$, it must be in $\operatorname{right}[x]$.

## Correctness of INTERVAL-SEARCH

- Line 4 executed: contrapositive of loop invariant holds.
- If there is no such an interval in the subtree rooted at left $[x]$ then there is no such interval in tree $T$.
- Since line 4 executed $\max [$ left $[x]] \geq \operatorname{low}[i]$. There exists $i^{\prime}$ with high $\left[i^{\prime}\right]=\max [$ left $[x]] \geq \operatorname{low}[i]$.
■ $i$ and $i^{\prime}$ do not overlap, by assumption. By trichotomy high[i] < Iow[i'].
- $i^{\prime \prime}$ interval in right[x]. Intervals keyed on the low endpoints.
- high[i] < low[i'] $\leq \operatorname{low}\left[i^{\prime \prime}\right]$.
- Conclusion: no interval in right [x] (and thus in $T$ ) overlaps $i$.


## Computational geometry

■ Studies algorithms for geometric problems.
■ Applications: computer graphics, robotics, VLSI, CAD.
■ More applications: protein folding, molecular modeling, GIS.
■ Huge area! Only a sampler.
■ Scientific conference: SOCG
■ Software: CGAL.

## Caution

■ The biggest "enemy" to algorithms in computational geometry: degeneracy.
■ Three points are collinear, three lines intersect at the same point, etc.
■ Algorithms need patching to deal with degenerate situations.
■ In the interest of teaching: Ignore it.

## Want to know more?



## Computational geometry

■ Input: set of points $\left\{p_{i}\right\}, p_{i}=\left(x_{i}, y_{i}\right)$. Example: polygon $P=\left(p_{0}, p_{1}, \ldots, p_{n}\right)$.
■ Given $p_{1}=\left(x_{1}, y_{1}\right)$ and $p_{2}=\left(x_{2}, y_{2}\right)$, convex combination: any point $p_{3}=\left(x_{3}, y_{3}\right)$ such that $x_{3}=\lambda x_{1}+(1-\lambda) x_{2}, \lambda \in[0,1]$, similarly $y_{3}=\lambda y_{1}+(1-\lambda) y_{2}$.

1. Given two directed segments $\overrightarrow{p_{0} p_{1}}$ and $\overrightarrow{p_{0} p_{2}}$, is $\overrightarrow{p_{0} p_{1}}$ clockwise from $\overrightarrow{p_{0} p_{2}}$ with respect to their common endpoint $p_{0}$ ?
2. Given two line segments $\overline{p_{1} p_{2}}$ and $\overline{p_{2} p_{3}}$, if we traverse $\overline{p_{1} p_{2}}$ and then $\overline{p_{2} p_{3}}$, do we make a left turn at point $p_{2}$ ?
3. Do line segments $\overline{p_{1} p_{2}}$ and $\overline{p_{3} p_{4}}$ intersect?

## Cross products



Figure 33.1 (a) The cross product of vectors $p_{1}$ and $p_{2}$ is the signed area of the parallelogram. (b) The lightly shaded region contains vectors that are clockwise from $p$. The darkly shaded region contains vectors that are counterclockwise from $p$.

## Using Cross products



Figure 33.2 Using the cross product to determine how consecutive line segments $\overline{p_{0} p_{1}}$ and $\overline{p_{1} p_{2}}$ turn at point $p_{1}$. We check whether the directed segment $\overrightarrow{p_{0} p_{2}}$ is clockwise or counterclockwise relative to the directed segment $\overrightarrow{p_{0}} \overrightarrow{p_{1}}$. (a) If counterclockwise, the points make a left turn. (b) If clockwise, they make a right turn.

# Procedures DIRECTION and ON-SEGMENT 

$\operatorname{DiRECTION}\left(p_{i}, p_{j}, p_{k}\right)$
$1 \quad$ return $\left(p_{k}-p_{i}\right) \times\left(p_{j}-p_{i}\right)$

On-SEGMENT $\left(p_{i}, p_{j}, p_{k}\right)$
if $\min \left(x_{i}, x_{j}\right) \leq x_{k} \leq \max \left(x_{i}, x_{j}\right)$ and $\min \left(y_{i}, y_{j}\right) \leq y_{k} \leq \max \left(y_{i}, y_{j}\right)$
2 then return TRUE
3 else return false

## Testing whether two segments intersect

■ QUICK REJECT: two segments cannot intersect if their BOUNDING BOXES don't.
■ Smallest rectangle containing the segment with sides parallel to the xy axes.
■ Bounding box of $\overline{p_{1} p_{2}}, p_{i}=\left(x_{i}, y_{i}\right)$ is rectangle with corners $\left(\min \left(x_{1}, x_{2}\right), \min \left(y_{1}, y_{2}\right),\left(\min \left(x_{1}, x_{2}\right), \max \left(y_{1}, y_{2}\right)\left(\max \left(x_{1}, x_{2}\right), \max \left(y_{1}, y_{2}\right)\right.\right.\right.$ and $\left(\max \left(x_{1}, x_{2}\right), \min \left(y_{1}, y_{2}\right)\right.$.


■ Second stage: check whether each segment "straddles" the other.

- A segment $\overline{p_{1} p_{2}}$ straddles a line if point $p_{1}$ lies on one side of the line and point $p_{2}$ lies on the other side. If $p_{1}$ or $p_{2}$ lies on the line, then we say that the segment straddles the line. Two line segments intersect if and only if they pass the quick rejection test and each segment straddles the line containing the other.



Figure 33.3 Cases in the procedure Segments-Intersect. (a) The segments $\overline{p_{1} p_{2}}$ and $\overline{p_{3} p_{4}}$ straddle each other's lines. Because $\overline{p_{3} p_{4}}$ straddles the line containing $\overline{p_{1} p_{2}}$, the signs of the cross products $\left(p_{3}-p_{1}\right) \times\left(p_{2}-p_{1}\right)$ and $\left(p_{4}-p_{1}\right) \times\left(p_{2}-p_{1}\right)$ differ. Because $\overline{p_{1} p_{2}}$ straddles the line containing $\overline{p_{3} p_{4}}$, the signs of the cross products $\left(p_{1}-p_{3}\right) \times\left(p_{4}-p_{3}\right)$ and $\left(p_{2}-p_{3}\right) \times\left(p_{4}-p_{3}\right)$ differ. (b) Segment $\overline{p_{3} p_{4}}$ straddles the line containing $\overline{p_{1} p_{2}}$, but $\overline{p_{1} p_{2}}$ does not straddle the line containing $\overline{p_{3} p_{4}}$. The signs of the cross products $\left(p_{1}-p_{3}\right) \times\left(p_{4}-p_{3}\right)$ and $\left(p_{2}-p_{3}\right) \times\left(p_{4}-p_{3}\right)$ are the same. (c) Point $p_{3}$ is collinear with $\overline{p_{1} p_{2}}$ and is between $p_{1}$ and $p_{2}$. (d) Point $p_{3}$ is collinear with $\overline{p_{1} p_{2}}$, but it is not between $p_{1}$ and $p_{2}$. The segments do not intersect.

## Testing whether two segments intersect

```
Segments-Intersect ( }\mp@subsup{p}{1}{},\mp@subsup{p}{2}{},\mp@subsup{p}{3}{},\mp@subsup{p}{4}{}
d
d}\mp@subsup{d}{2}{\leftarrow}\leftarrow\operatorname{DIRECTION}(\mp@subsup{p}{3}{},\mp@subsup{p}{4}{},\mp@subsup{p}{2}{}
d
d}\mp@subsup{|}{4}{}\leftarrow\operatorname{DiRECTION}(\mp@subsup{p}{1}{},\mp@subsup{p}{2}{},\mp@subsup{p}{4}{}
if ((d
    ((d}\mp@subsup{d}{3}{}>0\mathrm{ and }\mp@subsup{d}{4}{}<0)\mathrm{ or ( (d3<0 and }\mp@subsup{d}{4}{}>0)
    then return TRUE
elseif }\mp@subsup{d}{1}{}=0\mathrm{ and ON-SEGMENT ( }\mp@subsup{p}{3}{},\mp@subsup{p}{4}{},\mp@subsup{p}{1}{}
    then return TRUE
elseif d}\mp@subsup{d}{2}{}=0\mathrm{ and On-SEGMENT( }\mp@subsup{p}{3}{},\mp@subsup{p}{4}{},\mp@subsup{p}{2}{}
    then return TRUE
elseif d}\mp@subsup{d}{3}{}=0\mathrm{ and ON-SEGMENT ( }\mp@subsup{p}{1}{},\mp@subsup{p}{2}{},\mp@subsup{p}{3}{}
    then return TRUE
elseif }\mp@subsup{d}{4}{}=0\mathrm{ and ON-SEGMENT ( }\mp@subsup{p}{1}{},\mp@subsup{p}{2}{},\mp@subsup{p}{4}{}
    then return TRUE
else return FALSE
```


## Testing whether any two segments intersect

■ Given: $n$ segments $v_{1}, \ldots v_{n}$.
■ To test: do any two segments intersect ?
■ Uses technique called sweeping.

- Running time: $O(n \log n)$. Naive algorithm $O\left(n^{2}\right)$.

■ SWEEPING: an imaginary vertical sweep line passes through the given set of geometric objects, usually from left to right. The spatial dimension that the sweep line moves across, in this case the x-dimension, is treated as a dimension of time.
■ Provides method for ordering geometric objects, usually by placing them into a dynamic data structure, and for taking advantage of relationships among them.
■ line-segment-intersection algorithm: considers all line-segment endpoints in left-to-right order and checks for an intersection each time it encounters an endpoint.

# Sweeping 



Figure 33.4 The ordering among line segments at various vertical sweep lines. (a) We have $a>_{r} c$, $a>_{t} b, b>_{t} c, a>_{t} c$, and $b>_{u} c$. Segment $d$ is comparable with no other segment shown. (b) When segments $e$ and $f$ intersect, their orders are reversed: we have $e>_{v} f$ but $f>_{w} e$. Any sweep line (such as $z$ ) that passes through the shaded region has $e$ and $f$ consecutive in its total order.

## Maintaining sweep line

■ Sweeping algorithms: maintain two sets of data.
■ sweep-line status: gives the relationships among objects intersected by the sweep line.
■ event-point schedule: sequence of x-coordinates, ordered from left to right, that defines the halting positions of the sweep line.
■ Call each such halting position an event point. Changes to the sweep-line status occur only at event points.
■ Sweep-line status: total order $T$.
■ INSERT(T, s), $\operatorname{DELETE}(T, s)$.
■ $\operatorname{ABOVE}(T, s)$ : return segment above $s$ in $T$.
■ $\operatorname{BELOW}(T, s)$ : return segment below $s$ in $T$.

- We can perform each of the above operations in $O(\log n)$ time using red-black trees.


## Algorithm

```
Any-Segments-Intersect (S)
    T}\leftarrow
    sort the endpoints of the segments in S from left to right,
        breaking ties by putting left endpoints before right endpoints
        and breaking further ties by putting points with lower
        y-coordinates first
    for each point p}\mathrm{ in the sorted list of endpoints
        do if p}\mathrm{ is the left endpoint of a segment }
            then Insert (T,s)
            if (ABOVE}(T,s) exists and intersects s
                        or (BElow (T,s) exists and intersects }s\mathrm{ )
            then return TRUE
        if p}\mathrm{ is the right endpoint of a segment s
            then if both }\operatorname{Above}(T,s)\mathrm{ and Below (T,s) exist
                        and }\operatorname{Above}(T,s) intersects Below (T,s
                        then return TRUE
            Delete(T, s)
return FALSE
```


# Algorithm: example 



Figure 33.5 The execution of Any-Segments-Intersect. Each dashed line is the sweep line at an event point, and the ordering of segment names below each sweep line is the total order $T$ at the end of the for loop in which the corresponding event point is processed. The intersection of segments $d$ and $b$ is found when segment $c$ is deleted.

## Algorithm: correctness/performance

■ Can only fail by not reporting intersecting segments.

- $p=$ leftmost intersection point, breaking ties by choosing the one with the lowest $y$-coordinate. $a$ and $b=$ the segments that intersect at $p$.
■ No intersections occur to the left of $p \Rightarrow$ the order given by $T$ is correct at all points to the left of $p$.
■ no three segments intersect at the same point $\Rightarrow$ there exists a sweep line $z$ at which $a$ and $b$ become consecutive in the total order.
$\square z$ is to the left of $p$ or goes through $p$.
- There exists segment endpoint $q$ on $z$ that is the event point at which $a$ and $b$ become consecutive.
■ If $p$ is on $z$, then $q=p$. If $p$ is not on $z$, then $q$ is to the left of $p$. In either case, the order given by T is correct just before q is processed.


## Algorithm: correctness/performance

■ Either $a$ or $b$ is inserted into $T$, and the other segment is above or below it in the total order. Lines 4-7 detect this case.
■ Segments $a$ and $b$ are already in $T$, and a segment between them in the total order is deleted, making $a$ and $b$ become consecutive. Lines 8-11.
■ In either case, the intersection $p$ is found.
■ $2 n$ insert/delete/tests. Taking $O(\log n)$ time.

## Convex hull

■ Convex hull of a set of points: smallest convex polygon that contains the set of points.

- place elastic rubber band around set of points and let it shrink.

■ Two algorithms: Graham's Scan $O(n \log n)$.
■ Jarvis's March $O(n \cdot h)$, $h$ the number of points on the convex hull.
■ Other algorithms:
■ Incremental: points sorted from left to right forming sequence $p_{1}, \ldots, p_{n}$. At stage $i$ add $p_{i}$ to convex hull $C H\left(p_{1}, \ldots, p_{i-1}\right)$, forming $C H\left(p_{1}, \ldots, p_{i}\right)$.
■ Divide-and-conquer: divide into leftmost $\mathrm{n} / 2$ points and rightmost $\mathrm{n} / 2$ points. Compute convex hulls and combine them.
■ Prune-and-search method.

# Convex hull 



Figure 33.6 A set of points $Q=\left\{p_{0}, p_{1}, \ldots, p_{12}\right\}$ with its convex hull $\mathrm{CH}(Q)$ in gray.

## Graham's scan

■ Maintains a stack $S$ of candidate points.
■ Each point of $Q$ is pushed onto the stack.
■ Points not in $C H(Q)$ eventually popped from the stack.
■ TOP (S), NEXT - TO - TOP (S): stack functions, do not change its contents.
■ Stack returned by the algorithm: points of $C H(Q)$ in counterclockwise order.

## Convex hull algorithm

```
Graham-Scan(Q)
    1 let po be the point in Q with the minimum y-coordinate,
                or the leftmost such point in case of a tie
2 let }\langle\mp@subsup{p}{1}{},\mp@subsup{p}{2}{},\ldots,\mp@subsup{p}{m}{}\rangle\mathrm{ be the remaining points in Q,
sorted by polar angle in counterclockwise order around po
(if more than one point has the same angle, remove all but
the one that is farthest from po
    Push( }\mp@subsup{p}{0}{},S\mathrm{ )
    PuSh( }\mp@subsup{p}{1}{},S
    PuSh( }\mp@subsup{p}{2}{},S\mathrm{ )
    for }i\leftarrow3\mathrm{ to }
        do while the angle formed by points NExt-To-Top(S),Top(S),
                        and pi makes a nonleft turn
            do POP(S)
        PUSH( }\mp@subsup{p}{i}{},S\mathrm{ )
return S
```


## Graham’s Scan:Example




## Graham's Scan: Correctness and Performance

- Invariant: at the beginning of each iteration of the for loop stack $S$ contains (from bottom to top) exactly the vertices of $C H\left(Q_{i-1}\right)$ in counterclockwise order.
- Line 1: $\theta(n)$ time.
- Sorting $\theta(n \log n)$ time.

■ Testing for left/right turn: vector product $\theta(1)$ time.
■ The rest of the algorithm $O(n)$ time.

## Graham's Scan: Correctness



Figure 33.8 The proof of correctness of Graham-SCan. (a) Because $p_{i}$ 's polar angle relative to $p_{0}$ is greater than $p_{j}$ 's polar angle, and because the angle $\angle p_{k} p_{i} p_{i}$ makes a left turn, adding $p_{i}$ to $\mathrm{CH}\left(Q_{j}\right)$ gives exactly the vertices of $\mathrm{CH}\left(Q_{j} \cup\left\{p_{i}\right\}\right)$. (b) If the angle $\angle p_{r} p_{t} p_{i}$ makes a nonleft turn, then $p_{t}$ is either in the interior of the triangle formed by $p_{0}, p_{r}$, and $p_{i}$ or on a side of the triangle, and it cannot be a vertex of $\mathrm{CH}\left(Q_{i}\right)$.

## Jarvis's March

■ uses a technique known as gift wrapping.
■ Simulates wrapping a piece of paper around set $Q$.
■ Start at the same point $p_{0}$ as in Graham's scan.
■ Pull the paper to the right, then higher until it touches a point. This point is a vertex in the convex hull. Continue this way until we come back to $p_{0}$.
■ Formally: start at $p_{0}$. Choose $p_{1}$ as the point with the smallest polar angle from $p_{0}$. Choose $p_{2}$ as the point with the smallest polar angle from $p_{1} \ldots$
■ . . . until we reached the highest point $p_{k}$.
■ We have constructed the right chain.
■ Construct the left chain by starting from $p_{k}$ and measuring polar angles with respect to the negative $x$-axis.


Figure 33.9 The operation of Jarvis's march. The first vertex chosen is the lowest point $p_{0}$. The next vertex, $p_{1}$, has the smallest polar angle of any point with respect to $p_{0}$. Then, $p_{2}$ has the smallest polar angle with respect to $p_{1}$. The right chain goes as high as the highest point $p_{3}$. Then, the left chain is constructed by finding smallest polar angles with respect to the negative $x$-axis.

■ W.r.t. euclidean distance.
■ Brute force: $\theta\left(n^{2}\right)$.
■ Divide and conquer: $O(n \log n)$.
■ Each iteration: subset $P \subseteq Q$, arrays $X$ and $Y$.
■ Points in $X$ are sorted in increasing order of their $x$ coordinates.
■ Points in $Y$ are sorted in increasing order of their $y$ coordinates.
■ To maintain upper bound cannot afford to sort in each iteration.
■ $|P| \leq 3$ : brute force. Otherwise recursive divide-and-conquer.
■ Divide: Find a vertical line $/$ that bisects set $P$ into two sets $P_{L}$ and $P_{R}$ such that $\left|P_{L}\right|=\lceil|P| / 2\rceil,\left|P_{R}\right|=\lfloor|P| / 2\rfloor$, all points of $P_{L}$ to the left, all points of $P_{R}$ to the right.
■ $X_{L}$ : subarray that contains point of $P_{L}, X_{R}$ : subarray that contains point of $P_{R}$.

- Similarly for $Y$.


## Finding closest points (II)

■ Conquer. Recursive calls: $P_{L}, X_{L}, Y_{L}$ and $P_{R}, X_{R}, Y_{R}$. Returns smallest distances $\delta_{L}$ and $\delta_{R}$.
■ Combine. $\delta=\min \left\{\delta_{L}, \delta_{R}\right\}$.
■ Have to test whether some point in $P_{L}$ is at distance $<\delta$ from some point in $P_{R}$.
■ Both such points, if they exist, are within the $2 \delta$-wide strip around $/$.
■ Create an array $Y^{\prime}$ which is $Y$ with all points not in the $2 \delta$-wide strip around $/$ removed, sorted by $y$-coordinate.
■ For each point $p$ in $Y^{\prime}$ try to find points in $Y^{\prime}$ at distance less than $\delta$.
■ Only the 7 points that follow $p$ need to be considered.
■ Compute smallest such distance $\delta^{\prime}$. If $\delta^{\prime}<\delta$ we found a better pair. Otherwise $\delta$ is the smallest distance.

■ Correctness, implementation nontrivial.


Figure 33.11 Key concepts in the proof that the closest-pair algorithm needs to check only 7 points following each point in the array $Y^{\prime}$. (a) If $p_{L} \in P_{L}$ and $p_{R} \in P_{R}$ are less than $\delta$ units apart, they must reside within a $\delta \times 2 \delta$ rectangle centered at line $l$. (b) How 4 points that are pairwise at least $\delta$ units apart can all reside within a $\delta \times \delta$ square. On the left are 4 points in $P_{L}$, and on the right are 4 points in $P_{R}$. There can be 8 points in the $\delta \times 2 \delta$ rectangle if the points shown on line $l$ are actually pairs of coincident points with one point in $P_{L}$ and one in $P_{R}$.

## Correctness \& complexity

$\square$ For each point: Consider the $\delta \times 2 \delta$ rectangle centered at line $I$.

- At most 8 points within this rectangle.

■ Assuming $\delta_{L}$ lower than $\delta_{R}$, it follows that $\delta_{R}$ among the next 7 points following $\delta_{L}$.
■ $O(n \log n)$ bound from recurrence $T(n)=2 T(n / 2)+O(n)$.
■ Main difficulty: making sure that $X_{L}, X_{R}, Y_{L}, Y_{R}, Y^{\prime}$ sorted by appropriate coordinate.
■ Key observation: in each call we wish to form a sorted subset of a sorted array.

- Splitting the array into two halves.

■ Can be viewed as the inverse of the operation MERGE in MERGESORT.
■ How to get sorted arrays in the first place? presort. $\theta(n \log n)$.

```
length[ [YL ] = length[ [YR ] = 0;
for i = 1 to length[Y]
    if (Y[i] \in P ()
    {
        length[\mp@subsup{Y}{L}{}]++;
        Y}[llength[\mp@subsup{Y}{L}{}]]=Y[i]
    }
    else
    {
    length[YR] + +;
    YR[length[Y}\mp@subsup{Y}{R}{}]]=Y[i]
    }
}
```

